Andrea Leder

From: Andrea Leder
Sent: Thursday, June 06, 2013 7:58 AM
To: 'Dr. Bassett'
Subject: FY12 Audit CAP Not Yet Received
Attachments: Omega Schools FY12 Audit Letter.pdf

Importance: High

Patricia Bassett,
This email is intended to serve as a friendly reminder. To date, our office has not received the corrective action plan requested in the attached letter. Please provide the requested information.

If you have any questions, please let me know.

Thanks,
Andrea

Andrea Leder
Arizona State Board for Charter Schools
1616 W. Adams Street, Suite 170
Phoenix, AZ 85007
P.O. Box 18328
Phoenix, AZ 85009
Phone: (602) 364-3106
Fax: (602) 364-3089
http://asbcs.az.gov

Andrea Leder

From: Dr. Bassett <drbassett@cox.net>
Sent: Friday, June 07, 2013 8:10 AM
To: Andrea Leder
Cc: debbiepetersen@yahoo.com
Subject: RE: FY12 Audit CAP Not Yet Received

Will do.

REKINDLING THE JOY OF LEARNING AND WORK

From: Andrea Leder [mailto:Andrea.Leder@asbcs.az.gov]
Sent: Friday, June 07, 2013 5:55 AM
To: 'Dr. Bassett'
Cc: debbiepetersen@yahoo.com
Subject: RE: FY12 Audit CAP Not Yet Received

Dr. Bassett,
Based on this information, please provide the information requested in the Board's May 2, 2013 letter by Friday, June 21st.

If you have any questions, please let me know.

Thanks,
Andrea

From: Dr. Bassett [mailto:drbassett@cox.net]
Sent: Friday, June 07, 2013 7:54 AM
To: Andrea Leder
Cc: debbiepetersen@yahoo.com
Subject: RE: FY12 Audit CAP Not Yet Received

Spoke with the auditor yesterday. Since we did not have an exit interview, he is going to send me some information. However, he will be out of the office until 6/12/13. I made arrangements to speak with him on 6/12 in the am.

REKINDLING THE JOY OF LEARNING AND WORK

From: Andrea Leder [mailto:Andrea.Leder@asbcs.az.gov]
Sent: Thursday, June 06, 2013 6:58 AM
To: 'Dr. Bassett'
Subject: FY12 Audit CAP Not Yet Received
Importance: High

Patricia Bassett,
This email is intended to serve as a friendly reminder. To date, our office has not received the corrective action plan requested in the attached letter. Please provide the requested information.

If you have any questions, please let me know.

Thanks,
Andrea

Andrea Leder
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Phoenix, AZ 85009
Phone: (602) 364-3106
Fax: (602) 364-3089
http://asbcs.az.gov

Andrea Leder

From: Andrea Leder
Sent: Thursday, July 18, 2013 8:55 AM
To: 'debrapetersen@omegaschoolsk12.net'
Subject: FW: OMEGA 2012 RESPONSE TO AUDIT FINDINGS
Attachments: 2013 Audit Charter Board 001.pdf; ADI.pdf; Omega Schools FY12 Audit Letter.pdf

Importance: High

Debra Petersen,
On May 2, 2013, the Board sent to the prior charter representative a letter requiring a corrective action plan due to issues identified in the fiscal year 2012 audit. On June 24th, the prior charter representative submitted a response. The response provided does not satisfactory address the information requested in the Board's May 2nd letter. Please review the Board's May 2nd letter and the corrective action plan submitted on June 24th (both attached) and see if there is anything you would like to add. Your response may also address specific steps taken in fiscal year 2013 and/or fiscal year 2014 to help ensure that these issues do not recur in the fiscal year 2013 or subsequent audits.

Please provide your response **by Friday, August 12, 2013**. After your response is received, staff will review both responses and determine the next appropriate step.

If you have any questions, please let me know.

Thanks,
Andrea

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P.O. Box 18328
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Phone: (602) 364-3106
Fax: (602) 364-3089
http://asbcs.az.gov

From: Patricia Bassett [mailto:drbassett@omegaschoolsk12.net]
Sent: Monday, June 24, 2013 11:12 AM
To: Andrea Leder
Cc: debrapetersen@omegaschoolsk12.net; 'Claudia Ramos'
Subject: OMEGA 2012 RESPONSE TO AUDIT FINDINGS
Importance: High

Please let us know if you need further documentation and/or clarification.

Andrea Leder

From: Andrea Leder
Sent: Thursday, July 18, 2013 8:59 AM
To: 'debrapetersen@omegaschoolsk12.net'
Subject: RE: OMEGA 2012 RESPONSE TO AUDIT FINDINGS

Please disregard this email

Andrea Leder
Arizona State Board for Charter Schools
1616 W. Adams Street, Suite 170
Phoenix, AZ 85007
P.O. Box 18328
Phoenix, AZ 85009
Phone: (602) 364-3106
Fax: (602) 364-3089
http://asbcs.az.gov

From: Andrea Leder
Sent: Thursday, July 18, 2013 8:55 AM
To: 'debrapetersen@omegaschoolsk12.net'
Subject: FW: OMEGA 2012 RESPONSE TO AUDIT FINDINGS
Importance: High

Debra Petersen,
On May 2, 2013, the Board sent to the prior charter representative a letter requiring a corrective action plan due to issues identified in the fiscal year 2012 audit. On June 24th, the prior charter representative submitted a response. The response provided does not satisfactory address the information requested in the Board's May 2nd letter. Please review the Board's May 2nd letter and the corrective action plan submitted on June 24th (both attached) and see if there is anything you would like to add. Your response may also address specific steps taken in fiscal year 2013 and/or fiscal year 2014 to help ensure that these issues do not recur in the fiscal year 2013 or subsequent audits.

Please provide your response **by Friday, August 12, 2013**. After your response is received, staff will review both responses and determine the next appropriate step.

If you have any questions, please let me know.

Thanks,
Andrea

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Phone: (602) 364-3106
Fax: (602) 364-3089
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From: Patricia Bassett [mailto:drbassett@omegaschoolsk12.net]
Sent: Monday, June 24, 2013 11:12 AM
To: Andrea Leder
Cc: debrapetersen@omegaschoolsk12.net; 'Claudia Ramos'
Subject: OMEGA 2012 RESPONSE TO AUDIT FINDINGS
Importance: High

Please let us know if you need further documentation and/or clarification.

Andrea Leder

From: Andrea Leder
Sent: Thursday, July 18, 2013 9:02 AM
To: debrapetersen@omegaschoolsk12.net
Subject: FW: OMEGA 2012 RESPONSE TO AUDIT FINDINGS
Attachments: 2013 Audit Charter Board 001.pdf; ADI.pdf; Omega Schools FY12 Audit Letter.pdf

Importance: High

Debra Petersen,
On May 2, 2013, the Board sent to the prior charter representative a letter requiring a corrective action plan due to issues identified in the fiscal year 2012 audit. On June 24th, the prior charter representative submitted a response. The response provided does not satisfactorily address the information requested in the Board's May 2nd letter. Please review the Board's May 2nd letter and the corrective action plan submitted on June 24th (both attached) and see if there is anything you would like to add. Your response may also address specific steps taken in fiscal year 2013 and/or fiscal year 2014 to help ensure that these issues do not recur in the fiscal year 2013 or subsequent audits.

Please provide your response **by Friday, August 9, 2013**. After your response is received, staff will review both responses and determine the next appropriate step.

If you have any questions, please let me know.

Thanks,
Andrea

Andrea Leder
Arizona State Board for Charter Schools
1616 W. Adams Street, Suite 170
Phoenix, AZ 85007
P.O. Box 18328
Phoenix, AZ 85009
Phone: (602) 364-3106
Fax: (602) 364-3089
http://asbcs.az.gov

From: Patricia Bassett [mailto:drbassett@omegaschoolsk12.net]
Sent: Monday, June 24, 2013 11:12 AM
To: Andrea Leder
Cc: debrapetersen@omegaschoolsk12.net; 'Claudia Ramos'
Subject: OMEGA 2012 RESPONSE TO AUDIT FINDINGS
Importance: High

Please let us know if you need further documentation and/or clarification.

Andrea Leder

From: Andrea Leder
Sent: Friday, August 16, 2013 9:50 AM
To: 'debrapetersen@omegaschoolsk12.net'
Subject: RE: OMEGA 2012 RESPONSE TO AUDIT FINDINGS

Importance: High

Debbie,
Since I haven't yet received the requested information, I am following up. You may email or fax the response to me.

If you have any questions, please let me know.

Thanks,
Andrea

From: debrapetersen@omegaschoolsk12.net [mailto:debrapetersen@omegaschoolsk12.net]
Sent: Thursday, July 18, 2013 10:01 AM
To: Andrea Leder
Subject: Re: OMEGA 2012 RESPONSE TO AUDIT FINDINGS

Hi Andrea,

I will review and send you the planned implementations going forward to address previous findings and avoid those issues in the future by August 9th. Have a great day!

Thanks,
Debbie
-----Original Message-----
From: Andrea Leder [mailto:Andrea.Leder@asbcs.az.gov]
Sent: Thursday, July 18, 2013 12:02 PM
To: debrapetersen@omegaschoolsk12.net
Subject: FW: OMEGA 2012 RESPONSE TO AUDIT FINDINGS
Importance: High

Debra Petersen,
On May 2, 2013, the Board sent to the prior charter representative a letter requiring a corrective action plan due to issues identified in the fiscal year 2012 audit. On June 24th, the prior charter representative submitted a response. The response provided does not satisfactorily address the information requested in the Board?s May 2nd letter. Please review the Board?s May 2nd letter and the corrective action plan submitted on June 24th (both attached) and see if there is anything you would like to add. Your response may also address specific steps taken in fiscal year 2013 and/or fiscal year 2014 to help ensure that these issues do not recur in the fiscal year 2013 or subsequent audits.

Please provide your response **by Friday, August 9, 2013**. After your response is received, staff will review both responses and determine the next appropriate step.

If you have any questions, please let me know.

Thanks,

Andrea

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Phoenix, AZ 85007
P.O. Box 18328
Phoenix, AZ 85009
Phone: (602) 364-3106
Fax: (602) 364-3089
http://asbcs.az.gov

From: Patricia Bassett [mailto:drbassett@omegaschoolsk12.net]
Sent: Monday, June 24, 2013 11:12 AM
To: Andrea Leder
Cc: debrapetersen@omegaschoolsk12.net; 'Claudia Ramos'
Subject: OMEGA 2012 RESPONSE TO AUDIT FINDINGS
Importance: High

Please let us know if you need further documentation and/or clarification.

Andrea Leder

From: Andrea Leder
Sent: Monday, August 19, 2013 6:14 AM
To: 'debrapetersen@omegaschoolsk12.net'
Subject: RE: OMEGA 2012 RESPONSE TO AUDIT FINDINGS
Attachments: Omega Schools FY12 Audit Letter.pdf; 2013 Audit Charter Board 001.pdf; ADI.pdf

Hi Debbie,
I now understand. After the email you provided below, which incorrectly includes a deadline of Friday, August 12, I sent another email with a deadline date of Friday, August 9. I I'm sure that caused the confusion. Would you be able to provide a response by Friday, August 30?

The text from the second "correct" email is found below. I have also provided the attachments. If you have any questions, please let me know.

Thanks,
Andrea

"On May 2, 2013, the Board sent to the prior charter representative a letter requiring a corrective action plan due to issues identified in the fiscal year 2012 audit. On June 24th, the prior charter representative submitted a response. The response provided does not satisfactorily address the information requested in the Board's May 2nd letter. Please review the Board's May 2nd letter and the corrective action plan submitted on June 24th (both attached) and see if there is anything you would like to add. Your response may also address specific steps taken in fiscal year 2013 and/or fiscal year 2014 to help ensure that these issues do not recur in the fiscal year 2013 or subsequent audits.

Please provide your response **by Friday, August 9, 2013**. After your response is received, staff will review both responses and determine the next appropriate step.

If you have any questions, please let me know."

Andrea Leder
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Phoenix, AZ 85009
Phone: (602) 364-3106
Fax: (602) 364-3089
http://asbcs.az.gov

From: debrapetersen@omegaschoolsk12.net [mailto:debrapetersen@omegaschoolsk12.net]
Sent: Sunday, August 18, 2013 6:35 AM
To: Andrea Leder
Subject: OMEGA 2012 RESPONSE TO AUDIT FINDINGS

-----Original Message-----
From: debrapetersen@omegaschoolsk12.net [mailto:debrapetersen@omegaschoolsk12.net]
Sent: Sunday, August 18, 2013 09:32 AM
Cc: debbiepetersen@yahoo.com

Subject: Fwd: OMEGA 2012 RESPONSE TO AUDIT FINDINGS

Hi Andrea,

Good morning. I just received an e-mail from you stating that I had not responded to the request on July 18th. I am now unclear about the directive. I responded that I would write up an addendum to the audit findings response written by Dr. Bassett, but had received the "please disregard e-mail" later on July 18th (attached below) right after I had sent my e-mail. I understood that you no longer needed my written report and therefore did not write it. Please let me know what you need and if there is a due date. I want to be compliant and was following your directive to disregard the writing of the additional response to the audit findings sent on July 18th at 11:58am.

Thanks,
Debbie

-----Original Message-----
From: Andrea Leder [mailto:Andrea.Leder@asbcs.az.gov]
Sent: Thursday, July 18, 2013 11:58 AM
To: 'debrapetersen@omegaschoolsk12.net'
Subject: RE: OMEGA 2012 RESPONSE TO AUDIT FINDINGS

Please disregard this email

Andrea Leder
Arizona State Board for Charter Schools
1616 W. Adams Street, Suite 170
Phoenix, AZ 85007
P.O. Box 18328
Phoenix, AZ 85009
Phone: (602) 364-3106
Fax: (602) 364-3089
http://asbcs.az.gov

From: Andrea Leder
Sent: Thursday, July 18, 2013 8:55 AM
To: 'debrapetersen@omegaschoolsk12.net'
Subject: FW: OMEGA 2012 RESPONSE TO AUDIT FINDINGS
Importance: High

Debra Petersen,
On May 2, 2013, the Board sent to the prior charter representative a letter requiring a corrective action plan due to issues identified in the fiscal year 2012 audit. On June 24th, the prior charter representative submitted a response. The response provided does not satisfactory address the information requested in the Board?s May 2nd letter. Please review the Board?s May 2nd letter and the corrective action plan submitted on June 24th (both attached) and see if there is anything you would like to add. Your response may also address specific steps taken in fiscal year 2013 and/or fiscal year 2014 to help ensure that these issues do not recur in the fiscal year 2013 or subsequent audits.

Please provide your response **by Friday, August 12, 2013**. After your response is received, staff will review both responses and determine the next appropriate step.

If you have any questions, please let me know.

Thanks,

Andrea

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Phoenix, AZ 85007
P.O. Box 18328
Phoenix, AZ 85009
Phone: (602) 364-3106
Fax: (602) 364-3089
http://asbcs.az.gov

From: Patricia Bassett [mailto:drbassett@omegaschoolsk12.net]
Sent: Monday, June 24, 2013 11:12 AM
To: Andrea Leder
Cc: debrapetersen@omegaschoolsk12.net; 'Claudia Ramos'
Subject: OMEGA 2012 RESPONSE TO AUDIT FINDINGS
Importance: High

Please let us know if you need further documentation and/or clarification.

Andrea Leder

From: Andrea Leder
Sent: Wednesday, September 11, 2013 9:06 AM
To: 'Patricia Bassett'
Subject: RE: OMEGA BOARD REQUEST
Attachments: 9-11-13 Letter to Omega Schools Board.pdf; 1st 7-18-13 Email without attachments.pdf; 2nd 7-18-13 Email with attachments.pdf; 6-6-13 Email.pdf; 6-24-13 Email.pdf; 7-18-13 Charter Rep Response Email.pdf; 8-16-13 Email.pdf; 8-19-13 Email without attachments provided 7-18-13.pdf; Charter Representative Notification Request.doc

Importance: High

Dr. Bassett,
Please review the attached documents. You should receive 8 PDFs and 1 Word document. A copy of this information is also being mailed.

If you have any questions, please let me know.

Thanks,
Andrea

Andrea Leder
Arizona State Board for Charter Schools
1616 W. Adams Street, Suite 170
Phoenix, AZ 85007
P.O. Box 18328
Phoenix, AZ 85009
Phone: (602) 364-3106
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http://asbcs.az.gov

From: Patricia Bassett [mailto:drbassett@omegaschoolsk12.net]
Sent: Wednesday, September 11, 2013 8:15 AM
To: Andrea Leder
Subject: OMEGA BOARD REQUEST
Importance: High

The Board wants to review all correspondence from you to Debra Petersen regarding the 2012 audit ASAP.
Thank you.

Arizona State Board for Charter Schools

Physical Address:
1616 West Adams Street, Suite 170
Phoenix, Arizona 85007
Phone: (602) 364-3080
Fax: (602) 364-3089

Mailing Address:
PO Box 18328
Phoenix, Arizona 85009

September 11, 2013

Patricia Bassett, Janice Ehrlich, Clinton McJunkin, Michael Jones, and Edwina Glick
Omega Schools, Inc.
8632 W. Northern Avenue
Glendale, AZ 85305

Sent via email to Dr. Bassett: drbassett@omegaschoolsk12.net
Sent via regular mail to other board members

Dear Dr. Bassett, Ms. Ehrlich, Mr. McJunkin, Mr. Jones, and Ms. Glick,
With the resignation of Debra Petersen and the absence of a new charter representative, this letter has been addressed to all board members of Omega Schools, Inc. Enclosed please find the correspondence requested by Dr. Bassett.

As of today, our office has still not received a sufficient corrective action plan (CAP) for the issues identified in the fiscal year 2012 audit. If a CAP is not received by **Monday, September 30, 2013**, that sufficiently addresses the qualified opinion, weaknesses in internal control related to financial record retention (repeat) and segregation of duties, and issues related to noncompliance with attendance record retention and state unemployment requirements, then the charter holder may be placed on the Board's October agenda for possible disciplinary action. Please see the Board's May 2, 2013 letter for the specific CAP requirements. A copy of this letter has been provided. You may email the CAP response to me at Andrea.Leder@asbcs.az.gov.

Additionally, I have attached a Word version of the Charter Representative Notification Request. Please complete this form and submit it, along with all of the required supporting documentation, to our office. The form should be signed by the corporation's president or the person authorized by the corporation's bylaws to sign such documents. All documentation related to the notification request should be emailed to charterschoolboard@asbcs.az.gov.

If you have any questions, please let me know.

Sincerely,

Andrea Leder
Government & Financial Services Manager

Enclosures