

**Arizona State Board for Charter Schools
On-going Board Actions as of January 2, 2014**

Withholding					
Charter Holder Name	Date of Board Action	Violation	Notes	Status of Corrective Action Plan	Date issue, if unresolved, will come back before the Board
Carden of Tucson	11/21/13	<ul style="list-style-type: none"> Failure to timely submit fiscal year 2013 audit reporting package 	Audit received 12/13/13. 10% withholding returned with January payment.		
Hillcrest Academy, Inc.	11/21/13	<ul style="list-style-type: none"> Failure to timely submit fiscal year 2013 audit reporting package 			
International Charter School of Arizona, Inc.	11/21/13	<ul style="list-style-type: none"> Failure to timely submit fiscal year 2013 audit reporting package 			
Legacy Education Group	11/21/13	<ul style="list-style-type: none"> Failure to timely submit fiscal year 2013 audit reporting package 	Audit received 12/18/13. 10% withholding returned with January payment.		
Legacy Schools	11/21/13	<ul style="list-style-type: none"> Failure to timely submit fiscal year 2013 audit reporting package 	Audit received 12/18/13. 10% withholding returned with January payment.		
Life Skills Center of Arizona, Inc.	11/21/13	<ul style="list-style-type: none"> Failure to timely submit fiscal year 2013 audit reporting package 	Audit received 12/18/13. 10% withholding returned with January payment.		
Omega Schools, Inc.	11/21/13	<ul style="list-style-type: none"> Failure to timely submit fiscal year 2013 audit reporting package 	See also "Charters Under a Notice of Intent to Revoke"		
Shonto Governing Board of Education,	11/21/13	<ul style="list-style-type: none"> Failure to timely submit fiscal year 	Single audit engagement letter received on 12/13/13. Single audit extension granted to		

Withholding

Charter Holder Name	Date of Board Action	Violation	Notes	Status of Corrective Action Plan	Date issue, if unresolved, will come back before the Board
Inc.		2013 audit reporting package	3/31/14. 10% withholding returned with January payment.		

Charters Under a Notice of Intent to Revoke

Charter Holder Name	Date of Board Action	Alleged Violation	Date of Revocation Hearing/Orders	Status
Founding Fathers Academies, Inc.	12/9/13	<ul style="list-style-type: none"> • Designation as an F school for fiscal year 2013 • Failure to meet or demonstrate sufficient progress toward the Board's academic expectations as set forth in the performance framework • Failed to provide evidence of a system to adopt, implement, evaluate, and revise curriculum aligned with Arizona College and Career Ready Standards • Failed to provide a systematic process for monitoring and recording the implementation of the standards in instruction • Failed to provide a comprehensive assessment system based upon clearly defined performance measures aligned with the curriculum • Failed to provide a comprehensive professional development plan that was aligned to teacher needs, provides for monitoring and follow-up strategies and is supported by data and analysis. 	Notice of Hearing and Notice of Intent to Revoke sent via certified mail to charter holder on December 24, 2013.	Hearing before Administrative Law Judge set for March 26 through March 28, 2014.
Kin Dah Lichii Olta	12/9/13	<ul style="list-style-type: none"> • Designation as an F school for fiscal year 2013 	Notice of Hearing and Notice of Intent to Revoke sent via	Hearing before Administrative Law Judge set for March 18 through March 20, 2014.

Charters Under a Notice of Intent to Revoke

Charter Holder Name	Date of Board Action	Alleged Violation	Date of Revocation Hearing/Orders	Status
		<ul style="list-style-type: none"> • Failure to meet or demonstrate sufficient progress toward the Board’s academic expectations as set forth in the performance framework • Failed to provide evidence of a system to evaluate and revise curriculum aligned with Arizona College and Career Ready Standards • Failed to provide a comprehensive assessment system based upon clearly defined performance measures aligned with the curriculum • Failed to provide a comprehensive professional development plan that was aligned to teacher needs, provides for monitoring and follow-up strategies and is supported by data and analysis. 	certified mail to charter holder on December 27, 2013.	
Omega Schools, Inc.	12/9/13	<ul style="list-style-type: none"> • Designation as an F school for fiscal year 2013 • Failure to meet or demonstrate sufficient progress toward the Board’s academic expectations as set forth in the performance framework • Failed to provide evidence of a system to adopt, implement, evaluate, and revise curriculum aligned with Arizona College and Career Ready Standards • Failed to provide a systematic process for monitoring and recording the implementation of the standards in instruction • Failed to provide a comprehensive assessment system based upon clearly defined performance measures aligned with the curriculum • Failed to provide a comprehensive professional development plan that was 	Notice of Hearing and Notice of Intent to Revoke sent via certified mail to charter holder on December 20, 2013.	Hearing before Administrative Law Judge set for March 5 through March 7, 2014.

Charters Under a Notice of Intent to Revoke

Charter Holder Name	Date of Board Action	Alleged Violation	Date of Revocation Hearing/Orders	Status
		aligned to teacher needs, provides for monitoring and follow-up strategies and is supported by data and analysis. <ul style="list-style-type: none"> • Failure to meet the Board’s financial performance expectations reflects a lack of capacity to support improved performance • Failure to timely submit the fiscal year 2013 annual financial statement and compliance audit as required by A.R.S. §15-183(E)(6), A.R.S. §15-914 and the charter contract 		

Other Legal Matters

Charter Holder Name	Date of Board Action	Status

Consent/Settlement Agreements that are being monitored

Charter Holder Name	Date of Board Action	Terms	Status of compliance with Terms
Visions Unlimited Academy, Inc.	7/9/12	Visions agrees to remit voluntary monthly payments of no less than \$1,200 to the IRS on its Federal Tax Deposits arrearage until such time as it enters into a monthly installment agreement with the IRS to resolve its outstanding balance, at which time it agrees to comply with the terms of its monthly installment agreement. On or before the 30 th day of each month, beginning July 2012 and continuing until paid in full, Visions agrees to provide evidence to the Board that it has made the required voluntary monthly payments or, if Visions has entered into a monthly installment agreement, to provide evidence to the Board that it has made the required monthly payments under its installment agreement with the IRS.	<p>Payroll Taxes On 7/2/12, provided evidence that the first deposit made with the IRS in fiscal year 2013 equaled the required amount.</p> <p>On 7/13/12, provided evidence that the second deposit made with the IRS in fiscal year 2013 equaled the required amount.</p>

Consent/Settlement Agreements that are being monitored

Charter Holder Name	Date of Board Action	Terms	Status of compliance with Terms
		<p>Visions agrees to remain current in the remittance of its Federal Tax Deposits for the current fiscal year and for all subsequent fiscal years of its operations. Further, for all payrolls that occur from July 1, 2012 through such time as an installment agreement is entered into with the IRS, Visions shall, within five calendar days of each of its Federal Tax Deposits, provide evidence to the Board that it has made timely and sufficient Federal Tax Deposits into the Treasury of the United States.</p> <p>Within 10 days of the end of every calendar quarter (October 1, January 1, April 1, June 1) throughout the duration of the Performance Management Plan (PMP) developed by Visions (March 15, 2012 through May 25, 2014) and submitted to the Board on June 8, 2012, Visions shall complete and submit a Quarterly Report to the Board. Each Quarterly Report shall:</p> <ul style="list-style-type: none"> • Provide evidence of Visions' implementation of the Action Steps identified in the PMP within the Timeline(s) identified; • Provide evidence that the School is progressing toward the Board's Level of Adequate Academic Performance, which includes results of benchmark assessments, presented in chart and graph format depicting the School's academic student performance. The initial report will establish a baseline measure and each subsequent quarterly report will include previous results as well as the result for the current quarter; and • Provide documentation of the School's budget expenditures for the Action Steps implemented for the quarter. 	<p>On 7/26/12, provided copy of Form 941 filed with the IRS for second quarter of 2012 (April through June 2012).</p> <p>On 8/1/12, provided evidence that the third deposit made with the IRS in fiscal year 2013 equaled the required amount.</p> <p>On 8/1/12, provided evidence of \$1,200 August voluntary contribution submitted to IRS on 8/1/12.</p> <p>On 8/3/12, provided evidence that the \$1,200 July voluntary contribution was submitted to the IRS on 7/2/12.</p> <p>On 8/15/12, provided evidence that the fourth deposit made with the IRS in fiscal year 2013 equaled the required amount.</p> <p>On 9/1/12, provided evidence that the fifth deposit made with the IRS in fiscal year 2013 equaled the required amount.</p> <p>On 9/3/12, provided evidence that the September voluntary contribution of \$1,280.96 was submitted to IRS on 9/3/12.</p> <p>On 9/14/12, provided evidence that the sixth deposit made with the IRS in fiscal year 2013 equaled the required amount.</p>

Consent/Settlement Agreements that are being monitored

Charter Holder Name	Date of Board Action	Terms	Status of compliance with Terms
			<p>On 9/29/12, provided evidence that the seventh deposit made with the IRS in fiscal year 2013 equaled the required amount.</p> <p>On 9/29/12, provided evidence that the October voluntary payment of \$1,286.96 was submitted to the IRS.</p> <p>On 10/16/12, provided evidence that the eighth deposit made with the IRS in fiscal year 2013 equaled the required amount.</p> <p>On 10/24/12, provided copy of Form 941 filed with the IRS for third quarter of 2012 (July through September 2012).</p> <p>On 10/30/12, provided evidence that the November voluntary payment of \$1,200.01 was submitted to the IRS.</p> <p>On 10/31/12, provided evidence that the ninth deposit made with the IRS in fiscal year 2013 equaled the required amount.</p> <p>On 11/15/12, provided evidence that the tenth deposit made with the IRS in fiscal year 2013 equaled the required amount.</p> <p>On 11/26/12, provided documentation showing the charter holder has accepted a proposed installment agreement with the IRS for monthly payments of \$1,200. The</p>

Consent/Settlement Agreements that are being monitored

Charter Holder Name	Date of Board Action	Terms	Status of compliance with Terms
			<p>formally approved installment agreement with the IRS is pending.</p> <p>On 11/30/12, provided evidence that the eleventh deposit made with the IRS in fiscal year 2013 equaled the required amount.</p> <p>On 11/30/12, provided evidence that the December voluntary payment of \$1,200 was submitted to the IRS.</p> <p>On 12/14/12, provided evidence that the twelfth deposit made with the IRS in fiscal year 2013 equaled the required amount.</p> <p>On 1/2/13, provided evidence that the thirteenth deposit made with the IRS in fiscal year 2013 equaled the required amount.</p> <p>On 1/2/13, provided evidence that the January voluntary payment of \$1,200 was submitted to the IRS.</p> <p>On 1/8/13, provided copy of executed Installment Agreement with IRS. Agreement requires \$1,200 per month payments beginning January 15th and continuing on the 15th of each month thereafter. The \$1,200 payment made on 1/2/13 serves as the January payment under the Installment Agreement.</p> <p>On 2/11/13, provided evidence that the Installment Agreement's</p>

Consent/Settlement Agreements that are being monitored

Charter Holder Name	Date of Board Action	Terms	Status of compliance with Terms
			<p>February payment of \$1,200 was submitted to the IRS.</p> <p>On 3/25/13, provided evidence that the Installment Agreement's March payment of \$1,200 was timely submitted to the IRS.</p> <p>On 4/2/13, provided evidence that the Installment Agreement's April payment of \$1,200 was submitted to the IRS.</p> <p>On 5/1/13, provided evidence that the Installment Agreement's May payment of \$1,200 was submitted to the IRS.</p> <p>On 6/4/13, provided evidence that the Installment Agreement's June payment of \$1,200 was submitted to the IRS.</p> <p>On 7/1/13, provided evidence that the Installment Agreement's July payment of \$1,200 was submitted to the IRS.</p> <p>On 8/2/13, provided evidence that the Installment Agreement's August payment of \$1,200 was submitted to the IRS.</p> <p>On 9/3/13, provided evidence that the Installment Agreement's September payment of \$1,200 was submitted to the IRS.</p>

Consent/Settlement Agreements that are being monitored

Charter Holder Name	Date of Board Action	Terms	Status of compliance with Terms
			<p>On 9/30/13, provided evidence that the Installment Agreement's October payment of \$1,200 was submitted to the IRS.</p> <p>On 11/4/13, provided evidence that the Installment Agreement's November payment of \$1,200 was submitted to the IRS.</p> <p>On 12/5/13, provided evidence that the Installment Agreement's December payment of \$1,200 was submitted to the IRS.</p> <p>Performance Management Plan On 11/15/12, provided the initial quarterly report on progress with implementation of the PMP. The charter holder included evidence of implementation and discussed alignment with efforts conducted by ADE due to being designated as a Priority School.</p> <p>On 2/7/2013, provided the second quarterly progress report on implementation of their Performance Management Plan. The charter holder submitted an update from the previous report and provided evidence of implementation of the plan. The school continues to work with the Solutions Team from ADE on implementing its improvement plan.</p> <p>On 4/10/2013, provided the third</p>

Consent/Settlement Agreements that are being monitored

Charter Holder Name	Date of Board Action	Terms	Status of compliance with Terms
			<p>quarterly progress report on implementation of their Performance Management Plan. The charter holder submitted a mid-year recommendations report from ADE's Solutions Team as well as benchmark assessment data used to identify students for tutoring, teacher training information for Beyond Textbooks, examples of coaching plans for teachers, principal evaluation instrument, and school improvement team meeting minutes.</p> <p>On 7/13/2013, provided the fourth quarterly progress report on implementation of the school's Performance Management Plan. The charter holder submitted an update from the previous report and provided evidence of implementation of the plan. Evidence included documentation of professional development, Progress Monitoring Report for Priority School Implementation from ADE, and FY13 AIMS results by grade level and content area.</p> <p>On 10/25/2013, provided the first quarterly report for the second year of implementation of the school's Performance Management Plan. The charter holder provided evidence of implementation of the plan including documentation of professional development for reading and math,</p>

Consent/Settlement Agreements that are being monitored

Charter Holder Name	Date of Board Action	Terms	Status of compliance with Terms
			benchmark assessment data, new curriculum resources to support instruction, and school improvement team meeting minutes. For the 2012-2013 school year, the school earned a B in the state letter grade accountability system.

Status of Board Requested Reports/Site Visits

Charter Holder Name	Date of Board Action	Board Request of School/Staff	Status of compliance with request

Civil Penalties

Charter Holder Name	Date of Board Action	Violation	Amount of Civil Penalty	Date Appeal Timeframe Expires	Status