

*****Sample - For Discussion Purposes*****

| Measure | Metric | Context Year 2 | Context Year 1 | Prior Year 2 | Prior Year 1 | Current Year |
|--|--|---|---|--|---|---|
| 1.a. Does the delivery of the education program and operation reflect the essential terms of the educational program as described in the charter contract? | The charter holder's education program, in operation, reflects the essential terms as described in the charter contract. | No issue identified | No issue identified | No issue identified | No issue identified | — |
| Measure 1.a. Rating | | Meets | Meets | Meets | Meets | — |
| 1.b. Does the charter holder adhere with applicable education requirements defined in state and federal law? | The charter holder complies with applicable laws, rules, regulations and provisions of the charter contract relating to education requirements, including but not limited to: | Context Year 2 | Context Year 1 | Prior Year 2 | Prior Year 1 | Current Year |
| | Providing services pursuant to IDEA, Section 504 of the Rehabilitation Act of 1973, and the Americans with Disabilities Act relating to the treatment of students with identified disabilities and those suspected of having a disability | No issue identified | No issue identified | Substantiated complaint - ADE/ESS | No issue identified | — |
| | Meeting the required provision of instructional days or hours | No issue identified | No issue identified | No issue identified | No issue identified | — |
| | Providing any data that is required and requested for the purpose of compiling the school's achievement profile | No issue identified | No issue identified | No issue identified | No issue identified | — |
| | Implementing mandated programming as a result of state or federal funding | No issue identified | No issue identified | No issue identified | No issue identified | — |
| Measure 1.b. Rating | | Meets | Meets | Does Not Meet | Meets | — |
| 2.a. Do the charter holder's annual audit reporting packages reflect sound operations? | The charter holder complies with applicable laws, rules, regulations and provisions of the charter contract relating to the annual audit reporting package and the Board's Financial Performance Framework and Guidance, including but not limited to: | Context Year 2 | Context Year 1 | Prior Year 2 | Prior Year 1 | Current Year |
| | Timely submission of a complete annual audit reporting package | Yes | Yes | No | Yes | — |
| | An unqualified audit opinion | Unqualified | Unqualified | Unqualified | Unqualified | — |
| | An audit where first-time medium impact findings resulting in a corrective action plan (CAP) requirement have been completed | No issue identified | No issue identified | Audit CAP Completed (Fingerprinting) (Attendance Record Retention) | No issue identified | — |
| | An audit devoid of second-time or repeat medium impact findings | 2nd Year Taxes IRS, 2nd Year Taxes - ADOR | No issue identified | No issue identified | No issue identified | — |
| | An audit devoid of serious impact findings | No issue identified | 3rd Year Taxes IRS, 3rd Year Taxes - ADOR | No issue identified | No issue identified | — |
| | An audit devoid of minimal impact findings that have been identified in three or more consecutive annual audit reporting packages | No issue identified | GAAP Financial Statements (3 consecutive) | GAAP Financial Statements (4 or more consecutive) | GAAP Financial Statements (4 or more consecutive) | — |
| Measure 2.a. Rating | | Falls Far Below | Falls Far Below | Does Not Meet | Does Not Meet | — |
| 2.b. Is the charter holder administering student admission and attendance appropriately? | The charter holder complies with applicable laws, rules, regulations and provisions of the charter contract relating to operational requirements, including but not limited to: | Context Year 2 | Context Year 1 | Prior Year 2 | Prior Year 1 | Current Year |
| | - Accurate submission of estimated counts and attendance data to the Arizona Department of Education | No issue identified | No issue identified | No issue identified | No issue identified | — |
| | - Tuition and fees | No issue identified | Substantiated Complaint - Fee | Substantiated Complaint - Fee (Second) | No issue identified | Substantiated Complaint - Fee (Third) |
| | - Public school tax credits | No issue identified | No issue identified | No issue identified | No issue identified | — |
| | - Attendance records | No issue identified | No issue identified | Audit (Attendance Record Retention) | No issue identified | — |
| | - Enrollment policies, procedures and processes | No issue identified | Substantiated Complaint - Enrollment | No issue identified | No issue identified | — |
| Measure 2.b. Rating | | Meets | Does Not Meet | Does Not Meet | Meets | Falls Far Below |
| 2.c. Is the charter holder maintaining a safe environment consistent with state and local requirements? | The charter holder complies with applicable laws, rules, regulations and provisions of the charter contract relating to operational requirements, including but not limited to: | Context Year 2 | Context Year 1 | Prior Year 2 | Prior Year 1 | Current Year |
| | Maintaining appropriate facility documents, including a certificate of occupancy, fire marshal inspection report, county or municipal health inspection report, liability and property loss insurance coverage, and/or other applicable documents | No issue identified | No issue identified | No issue identified | Insurance cancellation notice (nonpayment) | — |
| | Ensuring all employees, personnel, vendors and other individuals have been properly fingerprinted and background checked | General Monitoring Site Visit | No issue identified | Fingerprinting - Audit | No issue identified | — |
| Measure 2.c. Rating | | Does Not Meet | Meets | Does Not Meet | Does Not Meet | — |
| 2.d. Is the charter holder transparent in its operations? | The charter holder complies with applicable laws, rules, regulations and provisions of the charter contract relating to operational requirements, including but not limited to: | Context Year 2 | Context Year 1 | Prior Year 2 | Prior Year 1 | Current Year |
| | Academic performance notifications | No issue identified | No issue identified | No issue identified | No issue identified | — |
| | Availability of teacher resumes | No issue identified | No issue identified | No issue identified | No issue identified | — |
| | Open Meeting Law | No issue identified | No issue identified | No issue identified | Minutes - Contract Amendment | — |
| | Alignment of officers, directors, members and partners of the charter holder on record as part of the charter contract with Arizona Corporation Commission submissions | No issue identified | No issue identified | No issue identified | Not in alignment | — |
| Measure 2.d. Rating | | Meets | Meets | Meets | Does Not Meet | — |
| 2.e. Is the charter holder complying with its obligations to the Board? | The charter holder complies with applicable laws, rules, regulations and provisions of the charter contract relating to operational requirements, including but not limited to: | Context Year 2 | Context Year 1 | Prior Year 2 | Prior Year 1 | Current Year |
| | Timely submissions of required documents, notification requests and amendment requests | No issue identified | No issue identified | No issue identified | Charter Holder Governance Notification Request | — |
| | Limited substantiated complaints | No issue identified | No issue identified | No issue identified | No issue identified | — |
| | Favorable actions taken by the Board | No issue identified | Notes: Intent issued payroll taxes; settlement agreement signed | 10% - Late audit & AFR | No issue identified | — |
| Measure 2.e. Rating | | Meets | Falls Far Below | Does Not Meet | Does Not Meet | — |
| 2.f. Is the charter holder complying with reporting requirements of other entities to which the charter holder is accountable? | The charter holder complies with applicable laws, rules, regulations and provisions of the charter contract relating to operational requirements monitored by other entities to which the charter holder is accountable, including but not limited to: | Context Year 2 | Context Year 1 | Prior Year 2 | Prior Year 1 | Current Year |
| | Arizona Corporation Commission | No issue identified | No issue identified | NOT in Good Standing - Annual Report | No issue identified | — |
| | Arizona Department of Economic Security | No issue identified | No issue identified | No issue identified | No issue identified | — |
| | Arizona Department of Education | No issue identified | No issue identified | Late AFR | Late Budget | — |
| | Arizona Department of Revenue | 1st Year | 1st Year | No issue identified | No issue identified | — |
| | Arizona State Retirement System (if participating) | No issue identified | No issue identified | No issue identified | No issue identified | — |
| | Equal Employment Opportunity Commission | No issue identified | No issue identified | No issue identified | No issue identified | — |
| | Industrial Commission of Arizona | No issue identified | No issue identified | No issue identified | No issue identified | — |
| | Internal Revenue Service | Audit (2nd Year) | Audit (3rd Year) | No issue identified | No issue identified | — |
| | U.S. Department of Education | No issue identified | No issue identified | No issue identified | No issue identified | — |
| Measure 2.f. Rating | | Falls Far Below | Falls Far Below | Does Not Meet | Does Not Meet | — |
| 3. Is the charter holder complying with all other obligations? | The charter holder complies with applicable laws, rules, regulations and provisions of the charter contract relating to operational requirements, including but not limited to: | Context Year 2 | Context Year 1 | Prior Year 2 | Prior Year 1 | Current Year |
| | Judgments or court orders issued by a court of competent jurisdiction | No issue identified | No issue identified | No issue identified | No issue identified | — |
| | All other obligations to which the charter holder is subject to that have not been addressed by the framework's other measures | No issue identified | No issue identified | No issue identified | No issue identified | — |
| Measure 3. Rating | | Meets | Meets | Meets | Meets | — |
| OVERALL RATING | | Does Not Meet Operational Standard | Does Not Meet Operational Standard | Does Not Meet Operational Standard | Meets Operational Standard | Does Not Meet Operational Standard |