



Arizona State Board for Charter Schools

Application for New Charter 2018 - 2019 School Year

Table of Contents

Introduction	3
Application Process	3
Terms to Know	7
Submission Instructions.....	9
Creating a New Charter Applicant Account	9
Creating an Application	10
Inputting Data into the Application Wizard	10
Cover Page	10
Title Page.....	10
Target Population Page.....	13
Alternative Submission Process	13
A. Educational Plan.....	16
A.1 Educational Philosophy.....	17
A.2 Target Population	18
A.3 Program of Instruction.....	19
A.3.1 Mastery and Promotion	20
A.3.2 Course Offerings and Graduation Requirements	21
A.4 School Calendar and Weekly Schedule.....	22
A.5 Academic Systems Plan	23
A.6 Curriculum Samples.....	28
B. Operational Plan.....	32
B.1 Applicant Entity	33
B.2 School Governing Body	34
B.3 Management & Operation.....	35
B.3.1 Education Service Providers	36
B.3.2 Contracted Services	38
C. Business Plan.....	39
C.1 Facilities Acquisition	40
C.2 Advertising and Promotion	41
C.3 Personnel	42
C.4 Start-Up Budget.....	43
C.5 Three Year Operational Budget.....	44
Attachments.....	45
Applicant Agreement Information	45
Academic Systems Plan (ASP) Template.....	46
Curriculum Sample Template – 8 Pages Max. (12 pages for integrated ELA sample) Error! Bookmark not defined.	
Verifiable Proof of Secured Funds.....	50
Affidavit, Disclosure, and Consent for Background and Credit Check.....	52
Background Information Sheet Attachment.....	53
Waiver of Online Submission of Application for New Charter.....	55
Start-Up Budget Template	56
Three Year Operational Budget Template	58
Projected Revenue Calculator	60
New Charter Application Fee Form.....	69

Application Overview

Mission

To improve public education in Arizona by sponsoring charter schools that provide quality educational choices.

Introduction

Charter schools were established to provide a learning environment that will improve pupil achievement and provide additional academic choices for parents and pupils (A.R.S. §15-181).

The Arizona State Board for Charter Schools (“Board, ASBCS”) follows the procedures set forth in A.R.S. §15-183 and §15-183.01, and Arizona Administrative Code (“Rule, A.A.C.”) regarding the annual Application for New Charter cycle. A copy of the statutes relating to the Board’s application process may be found at <http://www.azleg.gov/arsDetail/?title=15>. A copy of the Rules relating to the Board’s application process is on file with the Arizona Secretary of State and can be accessed through the Arizona Secretary of State’s website at http://apps.azsos.gov/public_services/Title_07/7-05.pdf.

The Board approved the 2018-2019 application on **MONTH, DATE 2017**. The final deadline for submission of the application package is **June 23, 2017 at 11:59 p.m.** There are no extensions or exceptions to the deadline.

Complete application packages will be accepted in electronic form only, unless the application is completed through the alternative submission process (see page 13). Electronic submission of new charter application packages must be submitted online through a web-based application wizard on the ASBCS Online database located at <http://online.asbcs.az.gov>.

Application Process

- **Instructions and Evaluation** - The specific instructions and evaluation criteria for each component of the application are included and detailed within the section listed in the Table of Contents. In addition to being scored for content, the application package will also be evaluated for readability. Special instructions for the completion of the Attachments are provided within each form.

Prospective Applicant Online Technical Assistance is available on the Board’s website at <http://asbcs.az.gov> under “Apply for a New Charter”.

Prospective Applicants should be aware that, if approved, they will not be eligible to add grades, enrollment cap, or new schools to the charter contract until, at the earliest, the third year of operation. Ensure that the plans included in the application package represent a sustainable business model, and that the grades served, enrollment cap, and number of sites requested represent the full extent of the Applicant’s expansion plans for the first three years of operation. Details on eligibility to submit expansion requests are available on the Board’s website at <http://asbcs.az.gov> under “Changes to an Existing Charter”.

- **Submission** – Application packages submitted online through a web-based application wizard on the ASBCS Online database will be accepted until the deadline of **Friday, June 23, 2017 at 11:59 p.m.** For details, refer to the **Submission Instructions** on page 9.
- **Application Processing Fee** – An application processing fee (“Fee”) is required to complete the submission of a new charter application package. The fee of \$6,500, in the form of a single personal check or cashier’s check (“Check”) made payable to *Arizona State Board for Charter Schools*, with the name of the Applicant printed on the front, must be received via U.S. mail (ASBCS, P.O. Box 18328, Phoenix, AZ 85009), FedEx/UPS (to the physical address: 1616 W. Adams St. Suite 170,

Phoenix, AZ, 85007) or hand delivery to the Board office **during regular office hours** on or before the submission deadline of **Friday, June 23, 2017**. Failure to timely submit the Fee will result in the application being deemed administratively incomplete. Any Applicant remitting payment via U.S. mail or FedEx/UPS must complete the *2018-2019 New Charter Application Fee* form and include the form with the Fee. All checks shall be deposited within five days of submission.

- If an Applicant's application processing fee payment to the Board is dishonored for any reason including an insufficient funds check:
 - The application package shall be deemed administratively incomplete, and
 - The Applicant shall use a cashier's check to pay the application processing fee for any application package submitted to the Board by the Applicant at any later date.
- If an application package is found to be administratively incomplete, and the Applicant paid the application processing fee, the fee shall be refunded to the Applicant. The application processing fee refund shall be mailed by U.S. Postal Service regular mail to the authorized representative at the address provided in the application package.
- If an application package is found to be administratively complete, the application processing fee shall become non-refundable.
- **Administrative Completeness** – Board staff confirms whether the application package contains all components required by statute, rule, and application instructions, and that the Fee has been timely received. An administratively complete application package must follow formatting requirements described on page 11, adhere to the page limitation, if identified, in each section and contain:
 1. Complete information for each application component, including:
 - Cover Page (Information must be consistent with the contents of the application package),
 - Title Page (Information must be consistent with the contents of the application package), and
 - Target Population Page (Information must be consistent with the contents of the application package);
 2. All narrative sections, required exhibits and attachments;
 3. All necessary information for completing a Background, Fingerprint, and Credit Check report for each Authorized Representative and Principal, including a valid Arizona Fingerprint Clearance Card (“FCC”) and verification of coursework (if applicable);
 4. Confirmed availability of start-up funds as listed in the application package and the Verifiable Proof of Secured Funds (“VPSF”) form;
 5. Instructional Analysis documents submitted on the approved template, identifying the Required Standard (Reading, Writing and Math)/Performance Objective (Science), and following the criteria for administrative completeness found on page 28; and
 6. Required documents completely filled out, and submitted on the application attachment and/or template, approved for the 2018-2019 fiscal year.

By March 31 of each year, the Board approves and makes available online at its web site an application for a new charter for a specified fiscal year. On **MONTH DATE, 2017** the Board approved the application for a new charter for the 2018-2019 application cycle, which includes templates and attachments designated by the Board. Templates and attachments must not be

altered from the approved content, format and sequence. An application package submitted for a new charter is not administratively complete if it contains modifications to the content, format or sequence of the templates or forms or if it contains templates or forms that were approved for use in a prior fiscal year.

Failure to meet the criteria above will result in the application package being deemed administratively incomplete. The application will be evaluated based on the information that is included in the application package when it is submitted. Applicants will receive written notification of the deficiencies not more than 25 business days after the submission of the application package and processing fee. If the application is deemed administratively incomplete, the Applicant's file will be closed. The Applicant, upon receiving notice of an incomplete application package, may submit a new application package, as long as the submission deadline of **June 23, 2017** at 11:59 p.m. has not passed. Pursuant to Rule, an Applicant who believes that their application package was erroneously designated as administratively incomplete may submit a written request for reconsideration to the Board within 10 days of the date of notice.

Additionally, an Applicant who submit an application package prior to **May 17, 2017 will receive notification of administrative completeness prior to the deadline date which gives the Applicant an opportunity to correct deficiencies identified in the initial administrative completeness check and resubmit an application package by the deadline of **Friday, June 23, 2017**.**

- **Substantive Completeness** – Upon determination that an application package is administratively complete, the Technical Review Panel (“TRP”) will score the application package using the evaluation criteria. Staff will complete the Background, Fingerprint, and Credit Check as part of the substantive review.

A substantively complete application package meets the following scoring requirements:

- ✓ No evaluation area receives a score of Falls Far Below the Expectation; and
 - ✓ No more than one evaluation area in each section is scored as Approaching; and
 - ✓ 95% of the evaluation areas in each Plan (Educational Plan, Operational Plan, and Business Plan) score at the Meets level.
- *Substantively Incomplete Preliminary Application Package* – Each Applicant whose application package fails to meet the scoring requirements will receive written notification of the deficiencies, including a copy of the scoring rubric completed by the TRP. An Applicant with a substantively incomplete preliminary application package then has three options for continuing in the application process:
 - The Applicant may use the information provided in the scoring rubric as technical assistance to improve the application package, and resubmit within 20 days of notification for review by TRP members, or
 - Within 20 days of notification, the Applicant may submit a written request that the preliminary application package be considered by the Board without revision, or
 - Within 20 days of notification, the Applicant may submit a written request that the preliminary application package be withdrawn.

If a revised application package or letter has not been submitted within 20 days of notification, the Applicant's file will be closed.

Revisions to the application package may not include changes to the composition of the Applicant. The Background Information Sheet and the Affidavit, Disclosure, and Consent for Background and Credit Check should not be included in the revised application package unless the Applicant is specifically requested to provide new documents by Board staff.

- *Substantively Incomplete Revised Application Package* – Each Applicant whose revised application package fails to meet the scoring requirements will receive written notification of the Board’s intent to close the file. This notification will include a copy of the scoring rubric completed by the TRP. To continue in the application process, within 20 days of notification, the Applicant must submit a written request that the substantively incomplete revised application package be considered by the Board, or the Applicant’s file will be closed.
- **Background Check, VPSF and Due Diligence Process** – Board staff will conduct a background and credit check of each principal of the Applicant, confirm each principal possesses a valid FCC, confirm availability of start-up funds, and conduct a due diligence process relating to current or former charter operations of the Applicant, any principal of the Applicant, or an Education Service Provider. If issues arise from the information obtained during the background and credit checks of any principal, the Board staff shall, in writing, notify the pertinent principal of the issues and the principal will have the opportunity to present a written response clarifying the information. Information obtained and communications conducted during this process shall be considered by the Board in making its decision on whether to grant or deny a charter.
- **In-Person Interview** – Upon determination that a preliminary or revised application package is substantively complete, or upon receipt of a written request for a substantively incomplete application package to be considered by the Board, the Applicant must make themselves available for an in-person interview to:
 - ✓ Demonstrate a thorough understanding of the Educational Plan, Operational Plan, and Business Plan presented in the written application package, and
 - ✓ Demonstrate the capacity to implement a plan to operate a high-quality charter school, and
 - ✓ Address any issues that arise during the due diligence process relating to current or former charter operations of the Applicant, any Officer, Director, Member, or Partner, or an Education Service Provider.
- **Board Consideration** – A substantively complete application package, or a substantively incomplete application package with timely submission of a written request for consideration, is eligible to be forwarded to the Board for consideration. Board members will be provided with:
 - The application package;
 - A link to the audio recording of the in-person interview;
 - The scoring rubric completed by the TRP;
 - A report prepared by Board staff including:
 - TRP analysis of the quality of the application package, summary of the in-person interview, and analysis of Applicant capacity;
 - Staff’s analysis of information regarding the academic and financial performance of any charter authorized by the Board and operated by an Applicant, any principal of the applicant, or an Education Service Provider;

- Information regarding the results of the Applicant’s background, fingerprint, and credit checks; and
- Information regarding the due diligence check of current or former charter operations.

Applicants will be notified of the date of the Board’s consideration and how to access the information provided to the Board. Authorized Representatives and Principals of the Applicant will be provided an opportunity to address the Board, and should be in attendance to answer questions from the Board. No new documents from the Applicant may be added to the application package or distributed to the Board at the Board meeting.

The Board will follow the requirements of statute and rule in determining whether to approve the charter.

Terms to Know

- **Academic Systems Plan: (ASP)** The school’s strategic plan for creating and implementing integrated systems using data in the areas of Curriculum, Assessment, Monitoring Instruction, and Professional Development.
- **Authorized Representative:** An individual with the power to bind a Charter Holder contractually according to the Charter Holder’s Articles of Incorporation, operating agreement, or by-laws. This individual will be the primary point-of-contact for communications regarding the application. (First found in Application Overview.)
- **Associated Schools:** A school operated by a charter holder that operates one or more other charter schools that contract with the same Education Service Provider; a school operated by the same charter holder but under different charter contracts; or a school operated by a charter holder with at least fifty (50) percent of corporate board officers, directors, members, or partners in common, as reflected by the charter contract.
- **Board:** The Arizona State Board for Charter Schools (“ASBCS”). (First found on Cover.)
- **Board’s Academic Performance Expectations:** A Charter Holder meets the Board’s academic performance expectations if all schools operated by the Charter Holder receive an overall rating of “Meets Standard”, “Above Standard” or “Exceeds Standard” in the current fiscal year that State assessment data is available as measured by the Academic Performance Framework, which is described in the *Academic Performance Framework and Guidance*, available on <https://asbcs.az.gov> under “Performance Expectations & Reviews”. (First found in Section A.5.)
- **Charter Contract:** A legally binding agreement between the Arizona State Board for Charter Schools and an approved Applicant. A sample may be viewed at <https://asbcs.az.gov/applicant-resources> under “Sample New Charter Contract”. (First found in Application Overview.)
- **Day:** A business day. (First found in Application Overview.)
- **Education Service Provider (ESP):** Any number of organizations that contract with or have a governance relationship with the Applicant entity to provide comprehensive services. The major types of ESPs that serve charter schools are education management organizations (EMOs) and charter management organizations (CMOs). For the purposes of this application:
 - An ESP is considered a CMO if it has a governance relationship with the Applicant in which the Applicant is a subsidiary of the ESP, the Applicant board has members that are members or

employees of the ESP, and/or the ESP has ultimate executive authority over the Applicant, including the power to appoint board members and/or close the school.

- An ESP is considered an EMO if it will contractually provide operations and management to the Applicant, but the Applicant chooses the affiliation and is independent of the ESP.

(First found in Title Page.)

- **Fingerprint Clearance Card (FCC):** A card issued by the Arizona Department of Public Safety and valid at the time of submission of the preliminary application package.

For a Principal or Authorized Representative with a valid Fingerprint Clearance Card (“FCC”) that will expire during the substantive review process, a copy of the FCC renewal application must be submitted by email to charterschoolboard@asbcs.az.gov, with the Applicant name indicated, prior to expiration of the FCC. Upon issuance of the renewed FCC, a copy must be submitted by email to charterschoolboard@asbcs.az.gov, with the Applicant name indicated. (First found in Application Overview.)

- **Governing Body:** Responsible for the policy decisions of the school, as described in A.R.S. § 15-183 (E) (8). (First found in Title Page.)
- **Layout of Space:** A floor plan of the facility(ies) clearly indicating internal building dimensions that demonstrates an appropriate facility for implementation of the Program of Instruction. (First found in Section C.1.)
- **Legal Document Establishing Entity:** Must be a filing with the Arizona Corporation Commission, unless otherwise described, establishing that the entity applying for the charter has authority to do business in Arizona. If this document refers to an entity domiciled outside Arizona, the application must also include documents on file with the domicile state, as amended. (First found in Section B.1.)
- **Principal:** Any officer, director, partner, or member of the corporate board of the entity applying for a charter at the time of submission of the application package. (First found in Application Overview.)
- **Required Standard:** The specific Standard from Arizona State Standards - English Language Arts and Mathematics, or specific Performance Objective from the Arizona Science Standard identified in the application to be used in the Instructional Analysis for a given grade level and content area. (First found in Section A.6.)
- **School Calendar:** A school may choose between three types of school calendar, as set by statute. Instruction must begin on or after July 1 and end no later than June 30.
 - **Standard:** A standard calendar, as described by A.R.S. § 15-341.01, consists of a traditional school calendar of one session per day meeting 4-5 days per week, or
 - **Extended:** An extended calendar, as described by A.R.S. § 15-902.04, provides two hundred days of instruction, with the annual instructional hours increased by 10%.

(First found in Target Population Page.)

- **Section:** A numbered subdivision of the application, including narrative sections (e.g., A.3.2 Course Offerings and Graduation Requirements, C.4 Start-Up Budget), and the curriculum samples for each grade level (e.g. A.6.K, A.6.12). (First found in Application Overview.)
- **State Equalization:** The per-pupil funding provided by the State. (First found in Section C.2.)

- **Sufficiently Qualified:** The Board's determination that an Applicant's or Charter Holder's experience, qualifications, current and prior charter compliance, and creditworthiness indicate an ability to implement a charter and operate a charter school. (First found in Application Overview.)
- **Technical Assistance:** General or specific assistance from Board staff, either written or verbal, and including Online Technical Assistance (“OTA”) and scoring rubrics provided by the Technical Review Panel. (First found in Application Overview.)
- **Technical Review Panel (TRP):** Individuals appointed by the Executive Director of the Board who use their expertise in charter school development, curriculum, and finance to assist in the evaluation of a preliminary or revised application package. (First found in Application Overview.)

Submission Instructions

All new charter applications must be submitted using the ASBCS Online system or completed according to the requirements of the Alternative Submission Process.

As described on Page 3, submission of an application package is not complete until the Fee is received timely at the Board office.

The fee of \$6,500, in the form of a single personal check or cashier’s check (“Check”) made payable to *Arizona State Board for Charter Schools*, with the name of the Applicant printed on the front, must be received via U.S. mail (ASBCS, P.O. Box 18328, Phoenix, AZ 85009), FedEx/UPS (to the physical address: 1616 W. Adams St. Suite 170, Phoenix, AZ, 85007) or hand delivery to the Board office at the physical address **during regular office hours** on or before the submission deadline of **Friday, June 23, 2017**. Any Applicant remitting payment via U.S. mail or FedEx/UPS must complete the *2018-2019 New Charter Application Fee* Form attachment and include the form with the Fee.

Failure to timely submit the Fee will result in the application being deemed administratively incomplete.

Creating a New Charter Applicant Account

An Authorized Representative of the Applicant Entity must create a login on the system.

From the website of the ASBCS (<http://asbcs.az.gov>), click **ASBCS Online** under “Helpful Links”. From here you can **Login** or **Register**. If the Authorized Representative already has an account with the ASBCS Online system, he or she must use it to create the new application. If the Authorized Representative does not have an account, click **Register**.

Enter the First Name, Last Name, Date of Birth, and Last 4 Digits of SSN for the Authorized Representative, then click **Register**. If this information has not already been entered in the system, it will open a new window called **Create a New Charter Applicant Account**. Fill out the fields as specified on the webpage.

- **Email Address:** The email address provided in this section will be used by Board staff for all written notification and official communication with the Applicant. The Board and staff may only communicate with those designated as Authorized Representatives in this application.
- **Applicant Name:** This is the name of the entity applying for the charter. (i.e., public body, private person or private organization). Only use an individual’s name if that individual is applying as a Sole Proprietorship.
- **School Name:** This is the proposed name for the new school.

The **Username** for the account will be the email address of the Authorized Representative.

Once you click **Complete Registration**, the ASBCS Online system will send an email with a **verification code and link** to the email address provided by the Authorized Representative. Open the email and click the link, or copy and paste the link into a browser window. This will take you to the ASBCS Online Login screen. This will also generate a second email, which contains a **temporary password**.

Return to the Login screen and enter your User ID, which is the Authorized Representative email address, and the temporary password. The system will immediately ask you to **Change Password**. Enter the temporary password in **Current Password**, and choose a **New Password**, which you will enter twice.

Creating an Application

Upon login to the ASBCS Online system, the home page is called the **Dashboard**. Under the heading **Create Application**, click **Create Application**.

Inputting Data into the Application Wizard

The Application Wizard on the ASBCS Online system has 6 parts (Cover Page, Title Page, Target Population, A: Educational Plan, B: Operational Plan, C: Business Plan), which may be completed in any order. The instructions below are given in the order they appear in the Table of Contents.

Cover Page

The Applicant Agreement Information must be signed by a designated Authorized Representative of the Applicant entity. Use the password of the account which created the application to sign the form. If the name connected with the password used for the signature is not an Authorized Representative, the application package will be deemed Administratively Incomplete.

Title Page

Uploading and Saving Files

The Title Page and parts A (Educational Plan), B (Operational Plan), and C (Business Plan) require the attachment of files generated outside the application wizard. Before uploading and saving a document, ensure that the file meets all evaluation criteria, is thoroughly proofread, and contains accurate information.

- ***In sections allowing only a single document:*** Click on **Browse**. A window will open allowing you to select the file on your computer. Click on the file and click **Open**. Once the file path and name appears in the box, click **Save and Continue**. The file name will be changed to reflect the section title. Once saved, click **View uploaded file** to ensure that the correct file was uploaded. To revise the file before submitting, upload and save again – the previous version will be automatically removed.
- ***In sections allowing multiple document uploads:*** Many sections require multiple documents to be uploaded. In these sections, for each document, click **Add a New Attachment**. Click on **Browse**. A window will open allowing you to select the file on your computer. Click on the file and click **Open**. Write a **Brief Description** of the file in the box (e.g.: 4th Grade Reading, Bylaws). Once the file path and name appears in the box, click **Add Attachment**. Click **Save and Continue**, at which point the file name will be modified, with a prefix at the beginning and a number at the end. Once saved, click **View/download file** to ensure that the correct file was uploaded. To revise a document before submitting, click **remove** to delete the old one before uploading the new one.

Note: Once you click **Open**, the file will be saved to your browser, NOT to the ASBCS Online system. You must click **Save and Continue** to transfer the file to the ASBCS Online system. Files uploaded but not saved may Timeout, and will need to be uploaded again.

Formatting Requirements

All documents uploaded to the ASBCS Online System must follow the following formatting requirements:

- The ASBCS Online System uploads only files of the following file types: .doc, .docx, .xls, .xlsx, .pdf.
- Each document must have a **Footer** including the document title, the name of the Applicant, the page number, and the total number of pages in the document. For example:

A.1 Educational Philosophy

Charter Prep, Inc.

Page 2 of 4

- Fonts must be no less than **11 point**.
- The application calls for many official documents to be scanned and uploaded. Scanned documents must be no less than 100% of the original size, except for building floor plans or maps. Scanned documents are not required to have the footer described above.
- All required information must be included in the narratives or attachments. Information contained in a link to an external document or website will not be considered in the evaluation of the application package.

Once files have been uploaded and saved, view each one to confirm it is the document and version you wish to submit. Once the application package is submitted, individual documents may not be substituted. If you discover an error after submission and before the deadline, you may withdraw the old application and start a new application. No documents may be added to an application package after the deadline.

Incompletely or incorrectly submitted application packages will be deemed Administratively Incomplete.

Title Page Data Fields: Some of the fields on this page may be pre-populated by information entered during the registration process.

- **Applicant Name:** This is the name of the entity applying for the charter. This may be a public body, private person or private organization. Only use an individual's name if that individual is applying as a Sole Proprietorship.
- **Charter School Name:** This is the proposed name for the new school.
- **Authorized Representative:** an individual with the power to bind a Charter Holder contractually according to the Charter Holder's Articles of Incorporation, operating agreement, or by-laws. This individual will be the primary point-of-contact for communications regarding the application.

Click '**Add Authorized Representative,**' and a dialog box will come up asking for the **last four digits of the SSN**, and **Date of Birth**. Once entered, the dialog box will expand, with fields to enter the Authorized Representative's **First Name, Last Name, and Email Address**. The email address provided in this section will be used by Board staff for all written notification and official communication with the Applicant. The Board and staff may only communicate with those designated as Authorized Representatives in this application.

For each Authorized Representative, upload **legible scanned copies** of the following documents. If the Authorized Representative is a current Charter Representative or Principal of an operating charter, and you believe current information is already on file with the ASBCS, email the Board office, and upload the confirmation email into these fields:

- **Fingerprint Clearance Card:** A valid Fingerprint Clearance Card, issued by the Arizona Department of Public Safety, is required for all Authorized Representatives.
- **Affidavit:** The attached Affidavit, Disclosure, and Consent for Background and Credit Check form must be completely and accurately filled out, signed, and notarized.
- **Background Information Sheet:** The attached Background Information Sheet Attachment must be completely and accurately filled out.
- **Resume:** A current resume (2 page maximum) is required.
- **Verification of Coursework/Degree:** If a postsecondary degree is noted on the Background Information Sheet and Resume, the Applicant must provide scanned copies of transcripts from the granting institution, or an official, watermarked, report from the National Student Clearinghouse® (<http://www.studentclearinghouse.org>). These documents must be uploaded with the application package.

Once information for at least one Authorized Representative has been entered, click **Save and Continue** at the bottom of the page.

- **Authorized Representative Mailing Address:** This address will be used for all official mail from the Board.
- **County:** The name of the Arizona county in which the proposed school will be located.
- **Day Time Phone:** Provide a number at which Board staff may reach an Authorized Representative during business hours.
- **Fax:** Optional.
- **Form of Organization:** List the type of entity applying for the charter, which must be consistent with the Applicant Entity documents submitted in the Operational Plan (e.g., Nonprofit Corporation, For-Profit Corporation, Partnership, Sole Proprietorship, Government Entity, Tribal Entity).
- **Educational Service Provider (ESP):** All Applicants are asked to declare whether they intend to contract with or have a governance relationship with an educational service provider (ESP). See Terms to Know.
- **Principal Background Information:** For each principal (officer, member, director, and partner) of the Applicant entity, you must provide the following background documents. The list of principals in this section must be consistent with the amended Articles of Incorporation, Articles of Organization, or most recent annual report filing with the Arizona Corporation Commission submitted in the Operational Plan. If a principal is a current Charter Representative or principal of an operating charter, and you believe current information is already on file with the ASBCS, email the Board office (charterschoolboard@asbcs.az.gov) with a request for confirmation. Upload a confirmation email into the fields for each appropriate principal.
 - **Fingerprint Clearance Card:** A valid Fingerprint Clearance Card, issued by the Arizona Department of Public Safety, is required for each principal (officer, member, director, and partner) of the Applicant entity.

- **Affidavit:** The attached Affidavit, Disclosure, and Consent for Background and Credit Check for must be completely and accurately filled out, signed, and notarized.
- **Background Information Sheet:** The attached Background Information Sheet Attachment must be completely and accurately filled out.
- **Resume:** A current resume (2 page maximum) is required.
- **Verification of Coursework/Degree:** If a postsecondary degree is noted on the Background Information Sheet and Resume, the Applicant must provide scanned copies of transcripts from the granting institution, or an official, watermarked, report from the National Student Clearinghouse® (<http://www.studentclearinghouse.org>). These documents must be uploaded with the application package.
- **School Governing Body:** Identify the type and name of members of the proposed School Governing Body as per A.R.S. §15-183(E)(8). If you do not know the names of your governing body members, use the name field to indicate the number of each type of member.

Target Population Page

- **Mission Statement:** Provide a statement describing the mission of the proposed school.
- **Grades Served:** Check the box of all grades to be taught. Must be consistent with the curriculum samples submitted in Section A.6. The grades should reflect the Applicant's expansion plans for the first three years of operation.
- **Enrollment Cap:** The number of students to be served by the proposed school, consistent with the personnel, budget, and facilities documentation provided in the Business Plan. This number should reflect the Applicant's expansion plans for the first three years of operation.
- **School Calendar:** Schools may have Standard, Alternative, or Extended School Year calendars (see Terms to Know). Ensure that this selection is supported in Section A.4.
- **Instructional Days:** The number of days of instruction proposed in Section A.4.
- **Target Start Date:** The proposed first day of school.

Alternative Submission Process

As an alternative to an application submission using the ASBCS Online system, an Applicant may submit the application package in the form of electronic files on a flash drive, using the Alternative Submission Process. An Applicant may notify the Board of its intent to submit an application using the Alternative Submission Process by submitting a signed, notarized ***Waiver of Online Submission of Application for New Charter*** ("Waiver") to the ASBCS office. The Waiver must be **received no later than close of business on Friday, May 1, 2017**. The Waiver must be delivered by hand delivery, FedEx or UPS to the ASBCS office at 1616 W. Adams St., Suite 170, Phoenix, AZ, 85007.

Within ten (10) days of the Board's receipt of the Waiver, ASBCS staff will send an Acknowledgement of Timely Receipt email, including Alternative Submission forms, to the email address of the Applicant provided on the Waiver. If Board staff notifies the Applicant that the Waiver was not received by the Waiver deadline, the Applicant may submit an application using the ASBCS Online system.

An Applicant who submits a timely Waiver waives the right to have the Board consider any application package submitted online for the current application cycle (2018-2019). No application package will be

accepted through the Alternative Submission Process unless a Waiver has been received by the Waiver deadline and acknowledged as timely by Board staff. An application submitted using the Alternative Submission Process will undergo the same administrative and substantive review process described on pages 4-6.

The Alternative Submission Process requires the Applicant to submit a complete application package, saved on a flash drive, to the ASBCS office. **This submission must be received no later than close of business on June 2, 2017.** The flash drive must be delivered in a protected package (e.g., bubble wrapped) by hand delivery, FedEx or UPS to the ASBCS office at 1616 W. Adams St., Suite 170, Phoenix, AZ, 85007. Any application package submitted using the Alternative Submission Process and not received by the close of business on June 2, 2017 will not be accepted and processed.

A complete application package submitted through the Alternative Submission Process must:

- Include all required narrative sections, exhibits, and attachments, with clearly labeled file names;
- Include completed Alternative Submission forms;
- Follow the formatting requirements specified below;
- Include a copy of the Acknowledgement of Timely Receipt email from ASBCS staff confirming that staff has been timely notified that the Applicant will be using the Alternative Submission Process; and
- Be received on a clearly labeled flash drive by the ASBCS office no later than close of business, Friday, June 2, 2017.

Prospective Applicants should be aware that, if approved, they will not be eligible to add grades, enrollment cap, or new sites to the charter contract until, at the earliest, the third year of operation. Ensure the plans included in the application package represent a sustainable business model, and that the grades served, enrollment cap, and number of sites requested represent the full extent of the Applicant's expansion plans for the first three years of operation. Details on eligibility to submit expansion requests are available on the Board's website at <http://asbcs.az.gov> under "Changes to an Existing Charter".

Application Processing Fee for Alternative Submission

An application processing fee ("Fee") is required to complete the submission of a new charter application package. The fee of \$6,500, in the form of a single personal check or cashier's check ("Check") made payable to *Arizona State Board for Charter Schools*, with the name of the Applicant printed on the front, must be received via hand delivery, FedEx or UPS to the Board office (1616 W. Adams St. Suite 170, Phoenix, AZ 85007) **during regular office hours** on or before the submission deadline of **Friday, June 2, 2017**. Any Applicant remitting payment via U.S. mail or FedEx/UPS must complete the *2018-2019 New Charter Application Fee* form and include the form with the Fee.

Failure to timely submit the Fee will result in the application being deemed administratively incomplete. The Check will be held until the completion of the Administrative Review.

Formatting Requirements for Alternative Submission

All documents submitted through the Alternative Submission Process will be uploaded to the ASBCS Online System by Board staff and must follow the following formatting requirements:

- Include only files of the following file types: .doc, .docx, .xls, .xlsx, .pdf.
- Files must be saved using the section number and name/exhibit name as the filename (e.g., *C.3 Personnel; A.6 3rd Grade Reading; B.1 Bylaws*).

- Each document must have a **Footer** including the document title, the name of the Applicant, the page number, and the total number of pages in the document. For example:

A.1 Educational Philosophy

Charter Prep, Inc.

Page 2 of 4

- Fonts must be no less than **11 point**.
- The application calls for many official documents to be scanned. Scanned documents must be no less than 100% of the original size, except for building floor plans or maps. Scanned documents are not required to have the footer described above.
- All required information must be included in the narratives or attachments. Information contained in a link to an external document or website will not be considered in the evaluation of the application package.
- The flash drive used for submission must be clearly labeled with the Applicant name, both physically (Applicant name written on or attached to the outside of the flash drive) and electronically (rename the flash drive with the name of the Applicant using the computer operating system).

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A. Educational Plan

The Educational Plan should define the plan for providing a learning environment that will improve pupil achievement in the target population (A.R.S. § 15-181) to include what students will achieve, how they will achieve it, and how the school will evaluate performance. It should provide a clear picture of what a student who attends the school will experience with respect to educational climate, structure, assessment, and outcomes.

Sections:

- A.1 Educational Philosophy
- A.2 Target Population
- A.3 Program of Instruction
 - A.3.1 Mastery and Promotion*
 - A.3.2 Course Offerings and Graduation Requirements*
- A.4 School Calendar and Weekly Schedule
- A.5 Academic Systems Plan
- A.6 Instructional Analysis

* Denotes a section required of only certain applicants.

For additional guidance refer to the OTA titled, "Educational Plan Part 1" available on the Board's website at <http://asbcs.az.gov> under "Apply for a New Charter".

Educational Plan

A.1 Educational Philosophy

Applicant Instructions

Provide a clear and comprehensive overview of the proposed school's educational philosophy that describes how students will learn and what the teacher's role in that learning will be. Describe its alignment with the elements of the program of instruction and the mission statement. Include related research and/or experience that clearly support why the Applicant has chosen to use this philosophical approach to improve pupil achievement in the target population.

Evaluation Criteria

A response that meets the standard will:

- a) Provide a clear and comprehensive overview of the school's philosophical approach, describing how student will learn and what the teacher's role in that learning will be.
- b) Incorporate the elements fundamental to the school's proposed program of instruction and mission statement.
- c) Present a rationale that clearly supports how the selected approach improves pupil achievement in the target population, including research and/or experience.
- d) Ensure the Mission Statement on the Target Population Page is consistent with the narrative.

Educational Plan
A.2 Target Population

Applicant Instructions

Describe the anticipated student population to include a comprehensive overview of the students and community the proposed school intends to serve. Explain how the proposed program of instruction will address the identified needs of the target population, to include class size and teacher-student ratios, by improving pupil achievement and providing educational choice.

Evaluation Criteria

A response that meets the standard will:

- a) Identify the target population consistent with the information provided on the Target Population Page, demonstrating a clear understanding of the students and community the school intends to serve, including current levels of academic performance of the surrounding schools.
- b) Present an explanation of how the implementation of the program of instruction described in A.3 meets the identified needs of the target population including improving pupil achievement and providing educational choice.
- c) Describe the class size and teacher- student ratios and clearly explain how these address the identified needs of the target population for improved pupil achievement and educational choice.

Educational Plan

A.3 Program of Instruction

(8 page maximum)

Applicant Instructions

Provide a clear, comprehensive and cohesive overview of the Program of Instruction to include curricula, methods of instruction, and methods of assessment that support the educational philosophy and improve pupil achievement in the target population.

Evaluation Criteria

A response that meets the standard will:

- a) Describe the curriculum for the core academic content areas, which clearly demonstrates alignment to the Educational Philosophy and Arizona State Standards.
- b) Demonstrate clear understanding of methods of instruction key to the program of instruction, including formative assessment strategies, which clearly demonstrate alignment to the curriculum, assessments and Educational Philosophy.
- c) Present a rationale for the selected curriculum, methods of instruction and assessment that support improving pupil achievement in the target population.
- d) Ensure the Program of Instruction is consistent with the Academic Systems Plan.

Educational Plan

A.3.1 Mastery and Promotion

Applicant Instructions

Select the statement that is applicable and proceed as directed:

- This Applicant will serve any grade 8 or lower. *Complete this section.*
- This Applicant will not serve any grade below 9. *Skip this section.*

Provide a clear and comprehensive plan for grade level promotion decisions for students of the proposed school that is consistent with State requirements. Include clear criteria and conditions that guide the grade level promotion decisions. Explain how retention is determined.

Evaluation Criteria

A response that meets the standard will:

- a) Present clear criteria and conditions for promotion from one level to the next, to include the level of proficiency that students must obtain to demonstrate mastery of academic core content.
- b) Describe a comprehensive viable and adequate process the proposed school will use to determine grade level promotion and retention consistent with State requirements.
- c) Present a rationale for the selected criteria and conditions that guide the grade level promotion decisions.
- d) Ensure consistency with Area II of the Academic Systems Plan.

Educational Plan

A.3.2 Course Offerings and Graduation Requirements

(6 page maximum)

Applicant Instructions

Select the statement that is applicable and proceed as directed:

- This Applicant will serve any grade 9 or above. *Complete this section.*
- This Applicant will not serve any grade above 8. *Skip this section.*

Provide a clear and comprehensive plan for awarding course credit for students of the proposed school. Include clear criteria and conditions that guide how course credit is awarded and how it demonstrates the student's capacity to pass the end of course State assessments.

Describe the school's course offerings and graduation requirements.

Required Exhibit

- Menu of course offerings

Evaluation Criteria

A response that meets the standard will:

- a) Identify graduation requirements for the school that will meet State requirements.
- b) Describe a comprehensive viable and adequate process that includes clear criteria and conditions for awarding course credit.
- c) Provide a rationale for the selected criteria and conditions for awarding course credit and how it demonstrates the student's capacity to pass the end of course State assessments.
- d) Provide a menu of course offerings which include course titles and brief descriptions of classes consistent with State requirements and clearly demonstrating alignment to the Program of Instruction.
- e) Ensure consistency with the Program of Instruction and alignment with the Business Plan as presented in the application package.

Educational Plan

A.4 School Calendar and Weekly Schedule

Applicant Instructions

Present the proposed school calendar that shows the total number of days the school will be in session, early-release days, scheduled holidays and additional days off.

In addition, provide the proposed weekly schedule that shows hours/minutes of instruction per week for each grade level served. Include the length of day (approximate start and dismissal times) and how many minutes will be designated for each core academic subject. Explain how the weekly schedule supports improving pupil achievement in the target population.

Required Exhibit

- Calendar consistent with the type selected on the Title Page and compliant with minimum requirements of number of school days per year as described in relevant statute (see Terms to Know).
- Weekly Schedule listing daily instructional minutes dedicated to each core content area for each grade level.

Evaluation Criteria

A response that meets the standard will:

- a) Clearly demonstrate compliance with hours/minutes of instruction for each grade level served for the proposed school calendar (standard or extended).
- b) Provide a rationale for the selected weekly schedule that clearly supports improving pupil achievement in the target population.
- c) Identify professional development opportunities consistent with the information provided in Area IV of the Academic Systems Plan.

Educational Plan

A.5 Academic Systems Plan

Applicant Instructions

New charter Applicants must submit an Academic Systems Plan (“ASP”) as a part of the Educational Plan section of the application. The ASP is for the purpose of ensuring the school’s essential systems are in place and appropriate for providing a quality education for each student it serves through the creation of a comprehensive, sufficiently detailed and implementable plan in the Areas of Curriculum, Assessment, Monitoring Instruction, and Professional Development. It is also intended to focus the school on meeting the Board’s Academic Performance Expectations (see Terms to Know).

If the application package is approved by the Board and the Applicant is awarded a charter, the application package, including the ASP, become a part of the charter contract.

The Applicant will prepare and submit a plan consisting of action steps for all Areas outlined in the ASP. The ASP will be completed using the template provided by ASBCS. For each action step, the Applicant must provide a description of the process for implementing each action step, person(s) responsible, frequency and/or timing, evidence of implementation, and cost and budget amounts generated by the action step, as described in the evaluation criteria. Only one completed ASP will be required. The following components constitute an ASP:

- **Baseline** – Identify the current academic performance level of the target population in Percent Passing in Math and in Reading.
Establishing Predicted Target: To determine the Predicted measure, gather data regarding academic performance of the target population in similar or comparison schools. The annual target for the plan is to Meet or Exceed the Board’s Academic Performance Expectations.
- **Narrative for setting baseline figures** – A description of what data and assumptions are used in determining the baseline levels for Percent Passing in Math and in Reading. This may include, for example, listing the schools from which the proposed schools expect to draw its population, citing their scores, and describing how the calculations were set up.
- **Action Steps** – Identify specific actions or tasks that contribute to each system for each Area.
- **Process for Implementing Action Step** – Include a description of the fundamental elements for each action step including any information that is collected, reviewed, or created. Include any criteria used for making decisions, and any external technical guidance support that will be utilized for the completion of the action. Essential details will answer all what, where, and how questions required to enable implementation of each action step as described in the evaluation criteria.
- **Frequency and/or Timing** – Provide the frequency (i.e. weekly, monthly, every other Wednesday) and timing (i.e. two days after benchmarking testing, within 48 hours of completing a classroom observation) for the completion of each action step.
- **Person(s) Responsible** – Identify the title/role/position of the individual(s) that are responsible for completing each action step.
- **Evidence of Implementation** – List documentation that will serve as evidence of the completion of the action step. Evidence must be able to demonstrate completion of all components of the action step.

Instructions for Completing an ASP

1. View the Online Technical Assistance (OTA) presentation on completing an ASP titled, "Educational Plan Part 2 Academic Systems Plan" available on the Board's website at <http://asbcs.az.gov> under "Apply for a New Charter". The OTA presents a set of guiding questions that will help you understand the scope and depth required for an acceptable ASP.
2. Review the evaluation criteria below, starting with criteria a and b in determining baseline figures, followed by c through f regarding the elements for each Area, then criteria g through k addressing elements of the action steps, in order to understand the criteria by which the ASP will be evaluated.
3. Download a copy of the ASP Template from the New Application links on the ASBCS Online system and complete it according to steps 4-11.
4. Use available academic performance data to determine the baseline performance levels of the incoming students from the target population and list them in the Expected/Predicted Baseline section for Math and Reading.
5. Provide a description of the basis for setting predicted baseline figures in the Narrative section.

Steps 6-11 should be completed separately for each Area of the ASP.

6. Outline the process(es) that will be implemented by the Applicant for each element of each Area (e.g., the process for adopting curriculum), including elements that address subgroup populations (Free and Reduced Lunch, English Language Learners, students with disabilities, and students in the bottom 25%).
7. Break the processes into discreet, sequential action steps and identify the fundamental elements for each action step which answer the questions of what, where, and how.
8. Identify the frequency and/or timing, person(s) responsible, and documents that will be generated through the process(es) that will serve as evidence of implementation.
9. Identify any specific costs that will be incurred in completing an action step (e.g. purchasing assessment material, hiring trainers), identify the costs by budget line item, and ensure that those costs are fully detailed in the appropriate Budget Assumptions.
10. Present the action steps on the ASP Template in the appropriate column, followed by the process for implementing each action step, frequency and/or timing, person(s) responsible, evidence of implementation, and cost and budget line item columns
11. Once complete, review the ASP against the evaluation criteria to ensure that all elements have been adequately addressed. Thorough and sufficiently detailed responses will describe processes that respond to and address each of the Area components through clearly described actions steps, process for implementing each action step, person(s) responsible, frequency and/or timing, and documentation to serve as evidence for each action step, and if applicable cost and budget line item.

Required Exhibits

- Academic Systems Plan (ASP)

Evaluation Criteria

An **ASP** that meets the standard will:

- a) Provide predicted baseline figures for Percent Passing and SGP in Mathematics and Reading aligned with target population information provided in Section A.2

- b) Provide a clear description of the basis for setting baseline figures that aligns with Section A.2.
- c) Provide sufficiently detailed and implementable action steps that address each of the following elements of a comprehensive curriculum system (Area I):

i.	Adoption of curriculum aligned to Arizona State standards
	<ul style="list-style-type: none"> • adopting new and supplemental curriculum, • gathering curriculum options, • evaluating proposed curriculum programs and materials, and • verifying the curriculum is aligned to Arizona College and Career Ready Standards (ACCRS).
ii.	Implementation of curriculum
	<ul style="list-style-type: none"> • integrating curriculum into instruction consistently and • implementing the curriculum with fidelity to the design of the curriculum and program of instruction.
iii.	Evaluation of curriculum
	<ul style="list-style-type: none"> • determining if curriculum is effective based on criteria set by the school, • ensuring that the curriculum allows students to meet the standards, • verifying whether curriculum is aligned to student needs, and • identifying if a curricular gap is preventing the students from mastering a standard.
iv.	Revision of curriculum
	<ul style="list-style-type: none"> • making revisions to existing curriculum and • replacing/supplementing existing curriculum through adoption of new curriculum.
v.	Adaptation to address the curriculum needs of subgroup populations
	<ul style="list-style-type: none"> • ensuring that implemented curriculum and supplemental curriculum meet the identified needs of students in subgroups.

- d) Provide sufficiently detailed and implementable action steps that address each of the following elements of a comprehensive assessment system to assess student performance (Area II):

i.	Developing the assessment system
	<ul style="list-style-type: none"> • creating a data collection system that involves both formative and summative assessments, • ensuring the assessment system is aligned to • the curriculum, and • the instructional methodology/program.
ii.	Analyzing assessment data
	<ul style="list-style-type: none"> • students are performing, • whether instructional methodology and curriculum are meeting the needs of all students, and • what adjustments are made when methodology and/or curriculum are not meeting student needs.
iii.	Adapted to meet the needs of subgroups
	<ul style="list-style-type: none"> • specifically evaluating the instruction delivered to each separate subgroup to ensure that necessary adjustments are made regularly for each group.
iv.	Year to Year comparison
	<ul style="list-style-type: none"> • determine what data will be collected to allow for valid and reliable comparisons of student growth and proficiency and

	<ul style="list-style-type: none"> • determine the analysis completed during the year to allow for valid and reliable comparisons from year to year
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e) Provide sufficiently detailed and implementable action steps that address each of the following elements of a comprehensive system for monitoring instruction (Area III):

i.	Monitoring instruction
	<ul style="list-style-type: none"> • gathering evidence to ensure that the classroom instruction is aligned with standards, • identifying if the instruction is taking place in the manner prescribed by curriculum planning documents, • verifying if the instruction allows students to effectively master state standards, and • ensuring that adjustments are made to the curriculum for students in subgroup populations.
ii.	Evaluating instructional practices
	<ul style="list-style-type: none"> • integrating curriculum into instruction consistently and • implementing the curriculum with fidelity to the design of the curriculum and program of instruction.
iii.	Evaluation of curriculum
	<ul style="list-style-type: none"> • gathering evidence to evaluate the effectiveness of instructional practices for all instructional staff, • using criteria to clearly measure instructional quality, and • disaggregating teacher evaluation data to identify strengths, weaknesses, and needs of individual teachers.
iv.	Adapted to meet the needs of subgroups.
	<ul style="list-style-type: none"> • evaluating the instruction delivered to each separate subgroup to ensure that necessary adjustments are made regularly for each group.
v.	Providing feedback that develops the quality of teaching and standards integration
	<ul style="list-style-type: none"> • analyzing the information gathered in the teacher evaluation process in order to provide feedback specific to each individual instructional staff member on their quality of teaching and standards integration.

f) Provide sufficiently detailed and implementable action steps that address each of the following elements of a comprehensive professional development system (Area IV):

i.	Developing the professional development plan
	<ul style="list-style-type: none"> • determining what PD topics will be covered throughout the year and • deciding what data and analysis will be utilized to make those decisions.
ii.	Supporting high quality implementation
	<ul style="list-style-type: none"> • supporting high quality implementation of PD strategies by providing support and • allocating resources such as time, space and the necessary material items required for implementation.
iii.	Monitoring implementation and follow-up
	<ul style="list-style-type: none"> • monitoring that the strategies learned in professional development are implemented, and • following up with instructional staff regarding levels of implementation.
iv.	Adapted to meet the needs of subgroups
	<ul style="list-style-type: none"> • determining what topics are addressed during PD to meet the needs of subgroups.

- g) Identify the frequency and/or timing sufficient for the timely completion of the action steps as part of a comprehensive system in each Area.
- h) Identify concrete documentation that will serve as evidence to demonstrate implementation of all components of each action step.
- i) Provide adequate personnel resources responsible for completing action steps that support the Areas. Identify the title/role/positions of the individual(s).
- j) Be consistent with all sections of the application package.

For additional guidance refer to the OTA titled, "Educational Plan Part 3 Academic Systems Plan" available on the Board's website at <http://asbcs.az.gov> under "Apply for a New Charter."

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Educational Plan

A.6 Instructional Analysis

Applicants must submit instructional analysis documents to demonstrate capacity to provide quality instruction in Reading, Writing, Mathematics, and Science aligned to their Program of Instruction and the Arizona State Standards.

Each instructional analysis addresses a single content area and grade level and will be evaluated using the criteria described on page 29.

Required Exhibits

- Instructional Analysis document required are based on the grades served as identified on the Target Population page. Instructional analysis is not required for each grade served, but is based on the grade span range.

Example: A charter school serving grades K through 3 will be required to submit the instructional analysis documents required for the K-2 and 3-5 grade spans.

Grades Served Include	Instructional Analysis documents required
K-2	1st Grade Reading & Writing 2nd Grade Math
3-5	4 th Grade Reading & Writing 4 th Grade Science 5 th Grade Math
6-8	7 th Grade Math 8 th Grade Reading & Writing 8 th Grade Science
9-12	9 th Grade Science Algebra II 11th Grade Reading & Writing

Criteria for Administrative Completeness – An administratively complete instructional analysis will:

- I. Contain the following information in the Instructional Analysis Template approved for use in the 2018-2019 application cycle (see Page 4):
 - i. Learning Targets
 - ii. Prior Knowledge
 - iii. Instructional Plan
 - iv. Instruction
 - v. Formative Assessment
 - vi. Summative Assessment
 - vii. Remediation
- II. Ensure that fonts are no less than 10 point, and scanned documents are legible and no less than 100% of the original size.

Evaluation Criteria – An instructional analysis document that meets the standard will:

- a) Identify what students must know and be able to do to demonstrate mastery of the Required Standard
- b) List the knowledge/skills that are required prior to meeting learning targets. Describe how prior knowledge will be used to introduce the learning targets.
- c) Describe the process for presenting new information, necessary for students to meet the learning targets. Describe the instructional strategies used to lead students to meet each of the learning targets identified.
- d) Describe the activity/tasks students will complete to meet the learning targets. Identify components of the program of instruction and educational philosophy that are incorporated into Instruction.
- e) List criteria for determining whether students have met each of the learning targets.
- f) Describe how students will demonstrate mastery of the Required Standard. Describe the criteria used to determine whether a student has mastered the Required Standard.
- g) Describe the processes available to provide support to students that do not meet the learning targets.

For additional guidance refer to the OTA titled, "Educational Plan Part 3" available on the Board's website at <http://asbcs.az.gov> under "Apply for a New Charter."

Required Standards:

The standards listed below are provided in the Instructional Analysis Templates to be completed by the Applicant. Instructional Analysis must be completed based on the grade levels identified on the Title Page. If the Applicant intends to serve any grades within the grade spans (K-2, 3-5, 6-8, 9-12) Instructional Analysis Templates must be completed for the standards identified for that grade span.

Grades K-2

1 st Grade Reading	1.RI.2 Identify the main topic and retell key details of a text.
1 st Grade Writing	1.W.3 Write narratives in which they recount two or more appropriately sequenced events, include some details regarding what happened, use temporal words to signal event order, and provide some sense of closure.
2 nd Grade Math	2.NBT.B.7 Demonstrate understanding of addition and subtraction within 1000, connecting objects or drawings to strategies based on place value (including multiples of 10), properties of operations, and/or the relationship between addition and subtraction. Relate the strategy to a written form.

Grades 3-5

4 th Grade Reading	RI.1 Refer to details and examples in a text when explaining what the text says explicitly and when drawing inferences from the text.
4 th Grade Science	S1.C3.PO 2 Formulate conclusions based upon identified trends in data.
4 th Grade Writing	<p>4.W.2 Write informative/explanatory texts to examine a topic and convey ideas and information clearly.</p> <p>a. Introduce a topic clearly and group related information in paragraphs and sections; include formatting (e.g., headings), illustrations, and multimedia when useful to aiding comprehension.</p> <p>c. Link ideas within categories of information using words and phrases (e.g., another, for example, also, because).</p>
5 th Grade Math	<p>5.NF.B.5 Interpret multiplication as scaling (resizing), by:</p> <p>a. Comparing the size of a product to the size of one factor on the basis of the size of the other factor, without performing the indicated multiplication.</p> <p>b. Explaining why multiplying a given number by a fraction greater than 1 results in a product greater than the given number; explaining why multiplying a given number by a fraction less than 1 results in a product smaller than the given number; and relating the principle of fraction equivalence $\frac{a}{b} = \frac{na}{nb}$ to the effect of multiplying $\frac{a}{b}$ by 1.</p>

Grades 6-8

7 th Grade Math	7.SP.C.6 Approximate the probability of a chance event by collecting data on the chance process that produces it and observing its long-run relative frequency, and predict the approximate relative frequency given the probability.
8 th Grade Reading	8.RI.8 Delineate and evaluate the argument and specific claims in a text, assessing whether the reasoning is sound and the evidence is relevant and sufficient; recognize when irrelevant evidence is introduced.
8 th Grade Science	S1.C3.PO2 Form a logical argument about a correlation between variables or sequence of events (e.g., construct a cause-and-effect chain that explains a sequence of events).
8 th Grade Writing	<p>8.W.1 Write arguments to support claims with clear reasons and relevant evidence.</p> <p>a. Introduce claim(s), acknowledge and distinguish the claim(s) from alternate or opposing claims, and organize the reasons and evidence logically.</p> <p>b. Support claim(s) with logical reasoning and relevant evidence, using accurate, credible sources and demonstrating an understanding of the topic or text.</p>

Grades 9-12

Algebra II	A2.S-CP.B.6 Use Bayes Rule to find the conditional probability of A given B as the fraction of B's outcomes that also belong to A, and interpret the answer in terms of the model.
11 th Grade Reading	11-12.RI.2 Determine and analyze the development and interaction of two or more central ideas over the course of a text to provide a complex analysis or objective summary.
11 th Grade Writing	<p>11-12.W.2 Write informative/explanatory texts to examine and convey complex ideas, concepts, and information clearly and accurately through the effective selection, organization, and analysis of content.</p> <p>a. Introduce a topic; organize complex ideas, concepts, and information so that each new element builds on that which precedes it to create a unified whole; include formatting, graphics, and multimedia when useful for comprehension.</p> <p>c. Use appropriate and varied transitions and syntax to link the major sections of the text, create cohesion, and clarify the relationships among complex ideas and concepts.</p>
Science	<p>S1.C3.PO4 Evaluate the design of an investigation to identify possible sources of procedural error, including:</p> <ul style="list-style-type: none">• sample size• trials• controls• analyses

B. Operational Plan

The Operational Plan should provide an understanding of how the Applicant and school will operate, beginning with its management and governance. It should present a clear picture of the Applicant's operating priorities, delegation of responsibilities, and relationship with key stakeholders.

Sections:

- B.1 Applicant Entity
- B.2 School Governing Body
- B.3 Management & Operation
 - B.3.1 Education Service Providers *
 - B.3.2 Contracted Services

* Denotes section required only of certain applicants

For additional guidance refer to the OTA titled, "Operational and Business Plans" available on the Board's website at <http://asbcs.az.gov> under "Apply for a New Charter."

Operational Plan

B.1 Applicant Entity

Applicant Instructions

Describe the entity that is applying for the charter, its history, current operations, and the decision to operate a charter school. Identify all Principals (officer, director, member, and partner) and authorized representative(s), describing each individual's role within the entity.

Required Exhibits

- Legal documentation establishing entity
 - For Corporation, provide a copy of the Articles of Incorporation as they appear on file with the Arizona Corporation Commission along with any amendments to the Articles, a copy of the most recent Annual Report, documentation of director or officer changes since submission of Annual Report and a copy of current Corporate Bylaws.
 - For Partnership/LLC, provide a copy of Articles of Organization as they appear on file with the Arizona Corporation Commission and a copy of current and signed Partnership Agreement/Operating Agreement.
 - For Sole Proprietorship, provide a copy of a complete application for Registration of Trade Name or Certificate of Registration.
 - For Government or Tribal Entity, provide documentation authorizing the entity to operate a charter. Also, provide documentation establishing the structure and method of governance and accountability for the operation of the charter school.
- If an out-of-state entity, complete application for Authority to Conduct Affairs in Arizona, issued by the Arizona Corporation Commission.
- Organizational Chart
- Signed minutes or resolution of intent to apply for a charter

Evaluation Criteria

A response that meets the standard will:

- a) Describe in detail the Applicant's history, including its establishment, evolution, and how operating a charter school became part of its mission as documented in the minutes or resolution of intent to apply for a charter school.
- b) Provide a clear and cohesive organizational chart and narrative that clearly delineates the roles, responsibilities, and reporting structure for each position on the chart.
- c) Clearly describe any subsidiary relationship of the Applicant entity to another organization.
- d) Identify any current or prior charter operation by the Applicant and any Principal, including the authorizer, timeframe, and nature of involvement.
- e) Discuss the role of each Principal in the entity. Clearly describe the qualifications of each Principal to support the Educational Plan, Operational Plan, and/or Business Plan.
- f) Be consistent with the background information provided for each individual.
- g) Ensure consistency with information listed on Title Page.
- h) Ensure consistency with the contents of the application package.
- i) Ensure consistency with legal documentation establishing entity, including number of directors required by Bylaws.

Operational Plan
B.2 School Governing Body

Applicant Instructions

Describe the key components of the proposed composition of the governing body, specifically outlining the roles and responsibilities of the governing body members as per A.R.S. §15-183 (E)(8). Describe any role the school governing body will have beyond the statutory minimum.

Evaluation Criteria

A response that meets the standard will:

- a) Clearly identify the specific roles and the responsibilities of the school governing body as per A.R.S. §15-183 (E)(8).
- b) Clearly indicate if the corporate board for Applicant will also act as the school governing body, or if and when a school governing body separate from the corporate board will be established.
- c) Describe how the composition of the school governing body will have the capacity to fulfill its requirements and supports the proposed school's mission.
- d) Describe a clear and appropriate process for selecting initial governing body members and filling vacancies that result in selecting a governing body qualified to fulfill its responsibilities.
- e) Be consistent with all sections of the application package.

Operational Plan

B.3 Management & Operation

Applicant Instructions

Clearly describe the organizational structure of the school and its day-to-day operation. Provide a clear and comprehensive operational plan of the management roles and responsibilities of key administrators. Describe the critical skills and/or experience that will be necessary for fulfillment of these responsibilities.

Evaluation Criteria

A response that meets the standard will:

- a) Provide an organizational chart and clearly delineate the roles and responsibilities of each position on the chart as it pertains to administering the day-to-day activities of the school.
- b) Present a comprehensive viable and adequate operational plan that includes oversight responsibilities related to instruction and operational services. Plan must identify the number of administrative personnel (each year for the first three years) and their oversight responsibilities related to the following areas:
 - i. Instruction,
 - ii. Curriculum and Assessment (mandated State testing),
 - iii. Staff Development,
 - iv. Financial Management,
 - v. Contracted Services,
 - vi. Personnel,
 - vii. Grants Management, and
 - viii. Student Accountability Information System (SAIS).
- c) Identify critical skills or experience that will indicate sufficient qualification for fulfillment of those responsibilities.
- d) Be consistent with all sections of the application package.

Operational Plan

B.3.1 Education Service Providers

All applicants are asked to declare whether they intend to contract with or have a governance relationship with an education service provider (ESP). See *Terms to Know*.

Applicant Instructions

Select the statement that is applicable and proceed as directed:

- We intend to have a governance relationship with a CMO. *Continue with the completion of this section.*
- We intend to contract with an EMO. *Continue with the completion of this section.*
- We do not intend to contract with or have a governance relationship with an education service provider. *Skip this section.*

If the Applicant expects to contract with or have a governance relationship with an ESP, discuss the Applicant's decision to work with the ESP, in general, and the selected ESP, in particular. Describe the planned relationship between the Applicant and ESP.

Required Exhibits

- Background information on the ESP including relevant performance data for all other schools that the ESP has managed.
- A list of all other schools managed by the ESP, the state in which the schools are located, and contact information for the schools and their authorizers.
- The service agreement as executed between the Applicant and the ESP (or template version if not yet executed).

Evaluation Criteria

A response that meets the standard will:

- a) Present a detailed explanation of how contracting with or having a governance relationship with the ESP:
 - allows the Applicant to fulfill its mission and implement its program of instruction, and
 - meets the identified needs of the target population including improving pupil achievement.
- b) Provide relevant performance data for other schools that the ESP has managed that demonstrates the ESP's success in implementing the program of instruction and improving pupil achievement in a comparable population.
- c) Provide a clear description of the services to be provided by the ESP consistent with the service agreement.
- d) (CMOs only) Ensure that the relationship between the ESP and Applicant entity described in the narrative is clearly consistent with the Articles of Incorporation and Bylaws in Section B.1
- e) (EMOs only) Provide an explanation for the reasons for contracting with an ESP, in general, and this provider in particular, and how the ESP will further the school's mission and program.
- f) (EMOs only) Describe the Applicant's performance expectations for the ESP consistent with the service agreement, and a viable and adequate plan for how the Applicant will evaluate that performance.
- g) (EMOs only) Describe the ESP's roles and responsibilities in relation to the Applicant consistent with the service agreement.

- h) Ensure ESP-related costs are described in the Budget Assumptions and included in the Start-Up and Three-Year Operational Budget as appropriate.

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Operational Plan

B.3.2 Contracted Services

Applicant Instructions

Identify all areas, if any, which may require the Applicant to seek ongoing outside expertise (e.g., business management, legal, IT, special education, curriculum development/alignment, etc.).

Evaluation Criteria

A response that meets the standard will:

- a) Delineate all areas, for which the Applicant plans to contract with a service provider.
- b) Identify the minimum professional qualifications and/or experience expected of those to be retained to perform each listed contracted service.
- c) Provide clear and specific sources for costs of each listed contracted service.
- d) Describe how the costs associated with each listed contracted service are viable and adequate for the target population identified in A.2.
- e) Ensure costs are described in the Budget Assumptions and included in the Start-Up and Three-Year Operational Budget as appropriate.

C. Business Plan

The Business Plan should provide an understanding of how the Applicant intends to develop and manage the school's financial operations to ensure a secured facility, appropriate personnel, and necessary instructional and operational resources to open and sustainably operate a school.

Sections:

- C.1 Facilities Acquisition
- C.2 Marketing and Student Enrollment
- C.3 Personnel
- C.4 Start-Up Budget
- C.5 Three-Year Operational Budget

For additional guidance refer to the OTA titled, "Operational and Business Plans" available on the Board's website at <http://asbcs.az.gov> under "Apply for a New Charter"

Business Plan

C.1 Facilities Acquisition

Applicant Instructions

Describe the facility necessary to implement the program described in the application package. Discuss all arrangements for securing a facility.

Describe the process for ensuring the facility is ready for a timely commencement of operations.

Describe costs associated with securing a facility and obtaining educational occupancy.

Required Exhibit

- Layout of Space (Terms to Know)

Evaluation Criteria

A response that meets the standard will:

- a) Identify a timeframe for securing an appropriate facility consistent with the start-up date of the school identified on the Target Population Page.
- b) Identify and describe the facility necessary to implement the program. Include square footage, number of classrooms, layout of space (floor plan), and location that will accommodate the number of anticipated students as identified throughout the application package.
- c) Identify and provide documentation on available facilities within the target market that will allow implementation of the program of instruction and support the student population and class size described in the application package.

Or

Provide details of an already acquired facility, purchased land or a proposed build that will allow implementation of the program of instruction and support the student population and class size described in the application package.

- d) Identify costs associated with securing the facility and ensuring compliance with all applicable laws and regulations including obtaining educational occupancy.
- e) Describe any financial arrangements that have been made for securing the facility.
- f) Ensure the Layout of Space is consistent with the narrative.
- g) Ensure costs as described in the narrative accounted for in the Start-Up and Three-Year Operational Budgets and fully described in the Assumptions.
- h) Be consistent with all sections of the application package.

C.2 Marketing and Student Enrollment

Applicant Instructions

Provide a clear and comprehensive marketing plan sufficient to attract enough parents/students to meet the proposed number of students and state equalization assistance included in the Three-Year Operational Budget.

Describe the proposed enrollment practices for the school, as per A.R.S. §15-184. Explain the process used if enrollment requests exceed the capacity of the school's enrollment cap. Identify the documentation collected separately as part of the enrollment and registration processes.

Enrollment of students cannot begin until the charter contract is signed.

Evaluation Criteria

A response that meets the standard will:

- a) Provide a viable and adequate timeframe for recruiting students consistent with the start-up date of the proposed school identified on the Target Population Page.
- b) Provide a clear and comprehensive plan that is viable and adequate to support the number of students included in the Three-Year Operational Budget.
- c) Provide clear and specific sources for costs associated with the marketing plan.
- d) Describe how the costs associated with the marketing plan are viable and adequate to attract the projected student population identified in Year 1 of the Three Year Operational Budget.
- e) Ensure costs as described in the narrative are accounted for in the Start-Up and Three-Year Operational Budgets and fully described in the Budget Assumptions.
- f) Describe the proposed fair and equitable admission requirements and documents required in the enrollment packet
- g) Describe the enrollment procedures compliant with A.R.S. §15-184, including the timeframe, process used if capacity is insufficient to enroll all students, and documentation collected for student registration once a student is admitted to the school.
- h) Identify the enrollment targets, including the minimum and maximum projected enrollment at each grade level that will be set, and how these targets were determined.

Business Plan
C.3 Personnel

Applicant Instructions

Identify the personnel necessary to implement the program of instruction and operate the charter school as described. Describe a compensation and benefits plan that supports personnel qualifications and experience required to support the program of instruction.

Evaluation Criteria

A response that meets the standard will:

- a) Provide a clear and comprehensive staffing plan, including the minimum professional qualifications and/or experience for each position.
- b) Describe how the staffing plan is adequate for the effective implementation of the program of instruction and operation of the charter school as described, including:
 - the grades and number of students to be served in each of the first three years of operation, and
 - the number of each type of instructional and non-instructional personnel each year.
- c) Provide a detailed plan to recruit, hire, and train instructional staff consistent with the start-up of the school.
- d) Describe how the process for recruiting initial instructional staff and the identified salaries in the Three-Year Operational Budget result in a staff qualified to effectively implement the program of instruction.
- e) Ensure all salaries and Employee Related Expenses (ERE) described in the narrative are accounted for in the Start-Up and Three-Year Operational Budgets and fully described in the Budget Assumptions.

Business Plan
C.4 Start-Up Budget

Applicant Instructions

Provide a viable and adequate budget to cover expenses that will occur in the start-up process, before state equalization revenue is received. Provide a separate document describing assumptions for each line item, to include disaggregated costs, and basis for determining for those costs.

Required Exhibits

- Start-Up Budget Form
- Start-Up Budget Assumptions

Required Exhibits (to be uploaded and saved into the Verifiable Proof of Secured Funds Field)

- Verifiable Proof of Secured Funds form for each source of revenue
- Documentation to support any agreement, donation, or loan that supports the budget.

Evaluation Criteria

A response that meets the standard will:

- a) List only sources of start-up revenue fully supported by Verifiable Proof of Secured Funds documentation.
- b) List expenditures to cover the start-up plans described in the application package.
- c) Demonstrate through the assumptions that the amounts listed are viable and adequate.
- d) Ensure revenues cover expenditures.
- e) Ensure that timeframes described in all sections of the application package are consistent with timeframes listed on the Start-Up Budget.
- f) Be consistent with all sections of the application package.

Business Plan

C.5 Three Year Operational Budget

Applicant Instructions

Provide a viable and adequate budget to cover expenses projected to occur during the first three years of operation. Ensure that state equalization revenue is consistent with the number and demographics of the target population, as described in A.2 as detailed in the Projected Revenue Calculator. Provide a separate document describing assumptions for each line item, to include disaggregated costs, and basis for determining those costs.

Required Exhibits

- Three Year Operational Budget Form
- Projected Revenue Calculator for Years 1, 2, & 3
- Three Year Operational Budget Assumptions

Evaluation Criteria

A response that meets the standard will:

- a) List expenditures to cover the Educational Plan, Operational Plan, and Business Plan described in the application package.
- b) Ensure state equalization detailed in Projected Revenue Calculator is consistent with the Target Population and the student count by grade level.
- c) (If student count add-ons are used in determining projected revenue) Provide an adequate rationale for using the student count add-on levels in the Projected Revenue Calculator in the assumptions that is consistent with the target population described in Section A.2.
- d) Provide an adequate rationale for the percent of full enrollment used as the basis of the budget for Year 1 consistent with Section C.2.
- e) Demonstrate through the assumptions that the amounts listed are viable and adequate.
- f) If operational revenue is used to pay for expenses incurred during the start-up period, clearly describe in the assumptions the sources of costs and repayment terms.
- g) Ensure revenues cover expenditures.
- h) Be consistent with all sections of the application package.

Attachments

Applicant Agreement Information

An Authorized Representative of the Applicant entity must electronically sign the following agreement prior to submitting the application package. Should the application package be signed by someone other than an Authorized Representative, the application package will be deemed Administratively Incomplete.

Cover Page – Section 1

I certify all information contained in this application package is complete and accurate, realizing that any misrepresentation could result in disqualification from the charter application process or revocation after award. I understand that incomplete application packages will not be considered.

The Applicant acknowledges that all information presented in the application package, if approved, becomes part of the charter and will be used for accountability purposes throughout the term of the charter.

The Applicant acknowledges that the Principals are aware of their responsibilities in the operation of a charter school as described in Arizona statute and that the Applicant is subject to, and will ensure compliance with all relevant federal, state and local laws and requirements.

The Applicant acknowledges that if approved to operate a charter school, the Applicant must execute a charter contract with the Arizona State Board for Charter Schools ("Board") within twelve months after the Board decides to grant the charter. If a charter is not timely signed, the Board's decision to grant the new charter expires.

The Applicant acknowledges that if approved to operate a charter school, the Applicant must begin providing educational instruction no later than the second fiscal year after the Board's decision to grant the charter. Failure to begin providing educational instruction accordingly may result in the revocation of the charter.

The Applicant acknowledges that if approved to operate a charter school, the Applicant must provide the number of days of instruction as approved in the application within the State's fiscal year that begins July 1st and ends June 30th. Failure to do so may result in revocation of the charter.

Signature of Authorized Representative
(electronic)

Academic Systems Plan (ASP) Template

Applicant Name: _____

Duration of the Plan: Begins July 1 and continues for two years

	Baseline (current performance of target population)	Predicted Target (expected performance in Year 1)
Math		
Reading		

Narrative for setting baseline figures

Describe the basis for setting baseline figures for math and reading that align with the proposed target population.

AREA I: Elements of a Comprehensive Curriculum System

Complete the plan for each of the five sections. Provide **sufficiently detailed** and **implementable action steps** that address each of the following elements of a comprehensive curriculum system:

- i. adoption of curriculum aligned to Arizona State Standards;
- ii. implementation of curriculum;
- iii. evaluation of curriculum
- iv. revision of curriculum; and
- v. adaptation to address the curriculum needs of subgroup populations.

For each Action Step you add, ensure all fields have been completed.

Action Step Select #	Person(s) Responsible	Frequency and/or Timing	Evidence of Implementation

Process for Implementing Action Step Select #			

AREA II: Elements of a Comprehensive Assessment System

Complete the plan for each of the four sections. Provide **sufficiently detailed** and **implementable action steps** that address each of the following elements of a comprehensive assessment system to assess student performance:

- i. developing the assessment system;
- ii. analyzing assessment data;
- iii. adapted to meet the needs of subgroups; and
- iv. year to year comparison.

For each Action Step you add, ensure all fields have been completed.

Action Step Select #	Person(s) Responsible	Frequency and/or Timing	Evidence of Implementation
Process for Implementing Action Step Select #			

AREA III: Monitoring Instruction

Complete the plan for each of the five sections. Provide **sufficiently detailed** and **implementable action steps** that address each of the following elements of a comprehensive system for monitoring instruction:

- i. monitoring instruction;
- ii. evaluating instructional practices;
- iii. evaluation of curriculum;
- iv. adapted to meet the needs of subgroups; and
- v. providing feedback that develops the quality of teaching and standards integration.

For each Action Step you add, ensure all fields have been completed.

Action Step Select #	Person(s) Responsible	Frequency and/or Timing	Evidence of Implementation
Process for Implementing Action Step Select #			

AREA IV: Professional Development

Complete the plan for each of the four sections. Provide **sufficiently detailed** and **implementable action steps** that address each of the following elements of a comprehensive professional development system:

- i. *identifying and providing professional development that is aligned with instructional staff learning needs and focuses on areas of high importance;*
- ii. *identifying and providing professional development that supports teachers of subgroup populations;*
- iii. *supporting high quality implementation of the strategies learned in professional development; and*
- iv. *providing monitoring and follow-up to support and develop implementation of the strategies learned in professional development.*

For each Action Step you add, ensure all fields have been completed.

Action Step Select #	Person(s) Responsible	Frequency and/or Timing	Evidence of Implementation
Process for Implementing Action Step Select #			

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Instructional Analysis Template

Instructional Analysis for Grade 1 in Reading	
<i>Complete the following by providing a complete response to each prompt based on the listed Required Standard. Ensure that the responses align with the new charter application package.</i>	
Required Standard: 1.RI.2	Identify the main topic and retell key details of a text.
Learning Targets	<i>Identify what students must know and be able to do to demonstrate mastery of the Required Standard.</i>
Prior Knowledge	<i>List the knowledge/skills that are required prior to meeting learning targets. Describe how prior knowledge will be used to introduce the learning targets.</i>
Instructional Plan	<i>Describe the process for presenting new information, necessary for students to meet the learning targets. Describe the instructional strategies used to lead students to meet each of the learning targets identified.</i>
Instruction	<i>Describe the activity/tasks students will complete to meet the learning targets. Identify components of the program of instruction and educational philosophy that are incorporated into Instruction.</i>
Formative Assessment	<i>List criteria for determining whether students have met each of the learning targets.</i>
Summative Assessment	<i>Describe how students will demonstrate mastery of the Required Standard. Describe the criteria used to determine whether a student has mastered the Required Standard.</i>
Remediation	<i>Describe the processes available to provide support to students that do not meet the learning targets.</i>

Verifiable Proof of Secured Funds

Instructions

For each source of funding the Applicant is listing on the Start-Up Budget, the Consent to Verify the Availability of Funds form is to be completed and signed by the financial institution and account holder (or authorized signer for an organizational account). Availability of these funds will be confirmed at the time of the Administrative Completeness Check, and again before the application package is forwarded to the Board for consideration.

One signed original form is required to be uploaded for each individual/organizational account contributing to the start-up funds for the Applicant. This form may be copied as necessary.

If these funds are in the form of a grant or loan from an organization, this form must be accompanied by an official letter, on letterhead and signed by an officer of the organization, specifying the amount and terms of the grant or loan.

If these funds are a personal loan or gift from an individual or individuals not listed in the application package as a charter principal or authorized representative, this form must be accompanied by a signed, notarized letter from the individual(s) specifying the amount and terms of the gift or loan.

An application package that does not have all sources of start-up funding accounted for with these forms will be deemed Administratively Incomplete.

Consent to Verify the Availability of Funds

Applicant Name: _____

Financial Institution: _____ Branch: _____

Financial Institution Contact Name (Account Manager): _____

Address: _____

Phone Number _____ Email: _____

Account Holder Type: Individual Joint Organization

Account Holder Name(s): _____

If organizational account: name, position, and contact number of authorized signer: _____

Account Type: Checking Savings Other (description): _____

Last four numbers of account #: _____ Minimum Funds Available for Start-Up: \$ _____

By signing below, the Account Holder verifies that the funds identified above are available on the date of signing, and authorizes staff of the Arizona State Board of Charter Schools to verify with the financial institution that the Minimum Funds Available for Start-Up listed above are available immediately prior to the Board's consideration of the new charter application package submitted by the Applicant.

Printed name of Account Holder or Authorized Signer Account Holder/Authorized Signature Date

Printed name of second Account Holder (joint account) Account Holder Signature Date

By signing below, the Account Manager verifies:

- 1. that the funds identified above are available on the date of signing, and*
- 2. that presentation of this form, signed by the Account Holder, will be sufficient documentation for future verification of the same amount when requested by staff of the Arizona State Board of Charter Schools, which will occur prior to March 1, 2018.*

Printed name of Account Manager Account Manager Signature Date

This form may be duplicated as necessary.

Affidavit, Disclosure, and Consent for Background and Credit Check

Complete this form for each Authorized Representative and Principal listed in the application package. Duplicate as needed and print each form separately. Have each form signed and dated by the Authorized Representative or Principal and notarized.

Name of Applicant Organization: _____

Name: _____ Social Security Number*: _____

Street Address: _____

City: _____ State: _____ Zip: _____

Phone: _____ Date of Birth: _____ Place of Birth: _____

With signature below, permission is hereby granted to complete the background and credit check of the individual above.

Please check the appropriate answer to each question below.

<p>1. Have you ever been convicted of or pled “no contest” for any violation of law other than minor traffic offenses? If either event has occurred, you must answer YES. If the conviction has been set aside, the charges must be disclosed. Please give details on a separate signed, notarized and dated sheet.</p>	Yes <input type="checkbox"/> No <input type="checkbox"/>
<p>2. Have you ever been convicted of, admitted committing, or are you awaiting trial on any of the following criminal offenses in this state or similar offenses in another jurisdiction: (1) Sexual abuse of a minor, (2) Incest, (3) First or second degree murder, (4) Kidnapping, (5) Arson, (6) Sexual assault, (7) Sexual exploitation of a minor, (8) Contributing to the delinquency of a minor, (9) Commercial sexual exploitation of a minor, (10) Felony offenses involving distribution of marijuana or dangerous or narcotic drugs, (11) Felony offenses involving the possession or use of marijuana or dangerous or narcotic drugs, (12) Misdemeanor offenses involving the possession or use of marijuana or dangerous drugs, (13) Burglary in the first degree, (14) Burglary in the second or third degree, (15) Aggravated or armed robbery, (16) Robbery, (17) A dangerous crime against children as defined in A.R.S. § 13-604.01, (18) Child abuse, (19) Sexual conduct with a minor, (20) Molestation of a child, (21) Manslaughter, (22) Aggravated assault, (23) Assault, or (24) Exploitation of minors involving drug offenses? If YES, submit certified court record and details of incident(s), signed, notarized and dated.</p>	Yes <input type="checkbox"/> No <input type="checkbox"/>
<p>3. Have you ever declared bankruptcy? Please give details on a separate signed, notarized and dated sheet.</p>	Yes <input type="checkbox"/> No <input type="checkbox"/>

I do solemnly swear or affirm that the forgoing information provided by me for the above listed Applicant is true and correct to the best of my knowledge. Furthermore, should any part of the information herein provided prove to be false, I recognize that it shall be just cause for denial or revocation of the charter for the above listed Applicant(s) by the Arizona State Board for Charter Schools.

Applicant’s Signature _____

Notary: Subscribed and sworn before me this _____ day of _____ Year _____

County of _____ State of _____

Notary Public Signature _____ My Commission Expires _____

*The voluntary disclosure of your social security number is requested by the Arizona State Board for Charter Schools pursuant to its legislative authorization under A.R.S. § 15-182.E in order to verify the information supplied in your charter application package and to determine your qualifications to operate a charter school. No statute or other authority requires that you disclose your social security number for that purpose. Failure to disclose your social security number may, however, result in a denial of your charter application or amendment.

Background Information Sheet Attachment

Provide the following information for each Authorized Representative and Principal listed in the application package. This form may be duplicated as many times as necessary.

Full Name (First, Middle, Last)		Other Names Used (Maiden names, AKA, etc.)	
Social Security Number (xxx-xx-xxxx)*		Date of Birth (Month/Day/Year)	
Residential Address			
City	State	Zip	Phone Number
Mailing Address (if different from above)			
City	State	Zip	Phone Number
Email Address			

List each CITY, STATE and ZIP CODE you have lived in within the past seven years, including your current address.

City	State	Zip Code	From Mo/Yr	To Mo/Yr

* The voluntary disclosure of your social security number is requested by the Arizona State Board for Charter Schools pursuant to its legislative authorization under A.R.S. § 15-182.E in order to verify the information supplied in your charter application package and to determine your qualifications to operate a charter school. No statute or other authority requires that you disclose your social security number for that purpose. Failure to disclose your social security number may, however, result in a denial of your charter application or amendment.

Continue on Page 2

Background Information Sheet Attachment, Page 2

Name of Individual (Authorized Representative/Principal): _____

*If applicable, list the **highest-level** post-secondary institution attended and degree earned or coursework/certification completed. If no post-secondary education is indicated on your resume, write N/A in "Institution Name".*

Institution Name	Dates Attended	Degree Earned	Major
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List the last FIVE YEARS of employment. List and describe any gaps in employment within the last five years.

Company Name		Position Held		
Address	City	State	Zip Code	Phone Number
Date Employed From: (Month/Year)		Date Employed To: (Month/Year)		Supervisor/Contact:

Company Name		Position Held		
Address	City	State	Zip Code	Phone Number
Date Employed From: (Month/Year)		Date Employed To: (Month/Year)		Supervisor/Contact:

Company Name		Position Held		
Address	City	State	Zip Code	Phone Number
Date Employed From: (Month/Year)		Date Employed To: (Month/Year)		Supervisor/Contact:

Company Name		Position Held		
Address	City	State	Zip Code	Phone Number
Date Employed From: (Month/Year)		Date Employed To: (Month/Year)		Supervisor/Contact:

Duplicate and add employment fields as necessary.

Waiver of Online Submission of Application for New Charter

To use the Alternate Submission Process described in the application, complete, sign, and notarize this Waiver. The completed Waiver must be **received** by the ASBCS office no later than close of business, Friday, May 1, 2017. The Waiver must be delivered by hand delivery, FedEx or UPS to the ASBCS office at 1616 W. Adams St., Suite 170, Phoenix, AZ, 85007.

Name of Applicant: _____

Name of Authorized Representative: _____

Mailing Address: _____

City: _____ State: _____ Zip: _____

Phone: _____ Email Address: _____

Initial each section below to indicate acceptance of the terms of the Waiver.

<p>1. I understand that an original of this waiver, signed by an Authorized Representative of the Applicant and notarized, must be received by the Arizona State Board for Charter Schools (ASBCS) office no later than close of business, Friday, May 1, 2017, for the application package to qualify for submission through the Alternative Submission Process.</p>	<p>_____ Initial to indicate acceptance.</p>
<p>2. I understand that by submitting this waiver, the Applicant waives the right to have any application package submitted by the Applicant through the ASBCS Online system considered by the ASBCS during the current application cycle (2018-2019).</p>	<p>_____ Initial to indicate acceptance.</p>
<p>3. I understand that by using the Alternative Submission Process, the deadline for the receipt of my application package by the office of the ASBCS is close of business, Friday, June 2, 2017.</p>	<p>_____ Initial to indicate acceptance.</p>
<p>4. I understand that the Alternative Submission of my application package must:</p> <ul style="list-style-type: none"> • Include all required narrative sections, exhibits, and attachments, with clearly labeled file names, • Include completed Alternative Submission forms, • Follow all formatting requirements specified in the instructions, • Include a copy of the Acknowledgement of Timely Receipt email from ASBCS staff, and • Be received on a clearly labeled flash drive by the ASBCS office no later than close of business, Friday, June 2, 2017. 	<p>_____ Initial to indicate acceptance.</p>
<p>5. I understand that an application processing fee ("Fee") is required to complete the submission of a new charter application package. The fee of \$6,500, in the form of a single personal check or cashier's check ("Check") made payable to <i>Arizona State Board for Charter Schools</i>, with the name of the Applicant printed on the front, must be received via hand delivery, FedEx or UPS to the Board office (1616 W. Adams St. Suite 170, Phoenix, AZ 85007) during regular office hours on or before the submission deadline of Friday, June 2, 2017.</p>	<p>_____ Initial to indicate acceptance.</p>
<p>6. I understand that should the complete application package and Fee not be received by the ASBCS office by close of business on Friday, June 2, 2017, the Applicant's application package will not be processed.</p>	<p>_____ Initial to indicate acceptance.</p>

I do solemnly swear or affirm that the forgoing information provided by me for the above listed Applicant is true and correct.

Applicant Authorized Representative's Signature _____

Notary: Subscribed and sworn before me this _____ day of _____ Year _____

County of _____ State of _____

Notary Public Signature _____ My Commission Expires _____

Start-Up Budget Template

Applicant Name: _____

<i>Provide Assumptions by Line</i>	Inception to August 1 of Opening Year				
START-UP REVENUE				Total \$	Timeframe for Acquisition
Secured Funds - Private Donations					
Secured Funds - Loans					
Secured Funds - Other					
Total Start-up Revenue				\$	
Administration, Instruction, & Support (AIS) EXPENDITURES (add lines as necessary)	# of FTE Staff	@ Salary	Required Employer Contributions per FTE	Total \$	Timeframe for Acquisition
Salaries					
Director/Principal				\$ -	
Teacher-Regular Education				\$ -	
Teacher-Special Education				\$ -	
Clerical				\$ -	
Bookkeeper/Finance				\$ -	
Custodial/Maintenance				\$ -	
Other				\$ -	
Employee Benefits					
Employee Insurance (if providing)					
Office Supplies (Paper, Postage, etc.)					
Instructional Consumables					
Membership Dues, Registrations, & Travel					
Contracted Services: AIS					
Contracted Services: Special Education					
Curriculum & Resource Materials					
Library Resources/Software					
SIS Software					
Other					
Total Administration, Instruction, & Support				\$ -	

Operations & Maintenance (O&M)		Total \$	Timeframe for Acquisition
EXPENDITURES (add lines as necessary)			
Supplies			
Marketing/Advertising			
Contracted Services: O&M			
Building Rent/Lease/Loan			
Building & Improvements			
Land & Improvements			
Fees/Permits			
Property/Casualty Insurance			
Liability Insurance			
Utilities (Electric, Gas, Water, Waste)			
Phone/Communications/Internet Connectivity			
Student Furniture & Equipment			
Office Furniture & Equipment			
Student Technology Equipment			
Office Technology Equipment			
Other Leases (Security, Copiers, etc.)			
Loan Repayment			
Other			
Total Operations & Maintenance		\$ -	
Total Expenditures		\$ -	
Total Start-up Revenues		\$ -	
Budget Balance (= Revenues - Expenditures)		\$ -	

Three Year Operational Budget Template

Applicant Name: _____

<i>Provide Assumptions by Line</i>	First Year				Second Year				Third Year			
STUDENT COUNT (at full enrollment)				#				#				#
Number of Students (Budget based on)				#				#				#
% of Full Enrollment Budget Based On				%				%				%
CARRYOVER (<i>Balance from Start-Up Budget in year 1 or previous year</i>)												
REVENUE				\$ Amount				\$ Amount				\$ Amount
State Funding (Equalization Assistance)												
Secured Funds - Private Donations												
Secured Funds - Loans												
Secured Funds - Other												
Total Revenue												
Administration, Instruction, & Support (AIS) EXPENDITURES	# of Staff	@ Salary	Req. Cont.	Total	# of Staff	@ Salary	Req. Cont.	Total	# of Staff	@ Salary	Req. Cont.	Total
<i>(add lines as necessary)</i>												
Salaries												
Director/Principal				\$ -				\$ -				\$ -
Teacher-Regular Education				\$ -				\$ -				\$ -
Teacher-Special Education				\$ -				\$ -				\$ -
Instructional Assistants-Regular Education				\$ -				\$ -				\$ -
Instructional Assistants-Special Education				\$ -				\$ -				\$ -
Clerical				\$ -				\$ -				\$ -
Bookkeeper/Finance				\$ -				\$ -				\$ -
Custodial/Maintenance				\$ -				\$ -				\$ -
Other _____				\$ -				\$ -				\$ -
Other _____				\$ -				\$ -				\$ -
Employee Benefits				\$ -				\$ -				\$ -
Employee Insurance (if applicable)				\$ -				\$ -				\$ -
Office Supplies (Paper, Postage, etc.)				\$ -				\$ -				\$ -
Instructional Consumables				\$ -				\$ -				\$ -
Membership Dues, Registrations, & Travel				\$ -				\$ -				\$ -
Contracted Services (AIS)				\$ -				\$ -				\$ -
Contracted Services (Special Education)				\$ -				\$ -				\$ -
Curriculum & Resource Materials				\$ -				\$ -				\$ -
Library Resources/Software				\$ -				\$ -				\$ -

SIS Software				\$ -				\$ -				\$ -
Auditor Fees				\$ -				\$ -				\$ -
Other				\$ -				\$ -				\$ -
Total Administration, Instruction, & Support				\$ -				\$ -				\$ -
Operations & Maintenance (O&M)												
EXPENDITURES				Total				Total				Total
<i>(add lines as necessary)</i>												
Supplies				\$ -				\$ -				\$ -
Marketing/Advertising				\$ -				\$ -				\$ -
Contracted Services - O&M				\$ -				\$ -				\$ -
Building Rent/Lease/Loan				\$ -				\$ -				\$ -
Building & Improvements				\$ -				\$ -				\$ -
Land & Improvements				\$ -				\$ -				\$ -
Fees/Permits				\$ -				\$ -				\$ -
Property/Casualty Insurance				\$ -				\$ -				\$ -
Liability Insurance				\$ -				\$ -				\$ -
Utilities (Electric, Gas, Water, Waste)				\$ -				\$ -				\$ -
Phone/Communications/Internet Connectivity				\$ -				\$ -				\$ -
Transportation				\$ -				\$ -				\$ -
Food Service				\$ -				\$ -				\$ -
Student Furniture & Other Equipment				\$ -				\$ -				\$ -
Office Furniture & Other Equipment				\$ -				\$ -				\$ -
Student Technology Equipment				\$ -				\$ -				\$ -
Office Technology Equipment				\$ -				\$ -				\$ -
Other Leases (Security, Copiers, etc.)				\$ -				\$ -				\$ -
Loan Repayment				\$ -				\$ -				\$ -
Other				\$ -				\$ -				\$ -
Total Operations & Maintenance				\$ -				\$ -				\$ -
Total Expenditures				\$ -				\$ -				\$ -
Total Revenues				\$ -				\$ -				\$ -
Budget Balance (Revenues-Expenditures)				\$ -				\$ -				\$ -

Projected Revenue Calculator

**2016-2017 Application for New Charter
Arizona State Board for Charter Schools**

Projected Revenue Calculator

A. Enter Estimated Student Counts	Year 1	Year 2	Year 3
Kinder			
Grades 1-3			
Grades 4-8			
Grades 9-12			
Total	0	0	0
B. Will you have a 200 Day Calendar?	No	No	No
C. Enter Estimated Student Count Add-On Numbers*			
Hearing Impairment			
ELL (English Learners)			
MD-R, A-R, and SID-R			
MD-SC, A-SC, and SID-SC			
Multiple Disabilities Severe Sensory Impairment			
Orthopedic Impairment (Resource)			
Orthopedic Impairment (Self-Contained)			
DD, ED, MIID, SLD, SLI, and OHI			
Emotionally Disabled (Private)			
Moderate Intellectual Disability			
Visual Impairment			
D. Estimated Equalization Revenue	\$ -	\$ -	\$ -

Instructions:

1. Enter the estimated student counts (budget based on, not full enrollment) used in the Three Year Operational Budget, separated by grade level. Kindergarten students should be entered as a head count figure - the worksheet will automatically divide by two for Section A.
2. Confirm that the numbers align with the figures provided in the narrative for C.2 Advertising & Promotion.
3. If you will be operating with a 200 day calendar, change the 'No' in line B to 'Yes.'
4. If you choose to enter estimated counts for student count add-ons, enter whole numbers in Section C. Numbers for Kindergarten students should be divided by 2 for Section C only. These numbers are not required, and if used must be supported in the assumptions and be consistent with the Target Population described in Section A.2. The add-ons for K-3 and K-3 Reading are automatically included in the Estimated Equalization Revenue.
5. Enter the Estimated Equalization Revenue figures from line D into line 12 of C.5 Three Year Operational Budget.
6. Upload this complete Excel file or a PDF copy of the completed "Enter Data Here" sheet only, into C.5 Three Year Operation Budget section of the online application wizard.

K-12 STUDENT COUNT	K-8	9-12
Student Count Grades 1-12	0	0
Student Count Kinder	0	
Adjusted Student Count	= 0 =	0

SUPPORT LEVEL WEIGHTS TO BE USED FOR:	K-8	9-12
Adjusted Student Count 0.001-99.999 Support Level Weight (2)	1.399	1.559
Adjusted Student Count 100.000-499.999		
Student Count Constant	500.000	500.000
Adjusted Student Count	- 0 -	0
Difference	= 0.000 =	0.000
Weight Adjustment Factor	x 0.0003 x	0.0004
Support Level Weight Increase	= 0 =	0
Support Level Weight Constant	+ 1.278 +	1.398
Support Level Weight (2)	= 0 =	0
Adjusted Student Count 500.000-599.999		
Student Count Constant	600.000	600.000
Adjusted Student Count	- 0 -	0
Difference	= 0.000 =	0.000
Weight Adjustment Factor	x 0.0012 x	0.0013
Support Level Weight Increase	= 0 =	0
Support Level Weight Constant	+ 1.158 +	1.268
Support Level Weight (2)	= 0 =	0
Adjusted Student Count 600.000 or More		
Support Level Weight	1.158	1.268

Weighted Student Count

1. K-3 (1)
2. 4-8
3. 9-12
4. Total Student Count
5. Total Add-On Count
6. Total Weighted Student Count

Student Count	Support Level Weight (from W.S.A.)	Weighted Student Count
0.000	x 0.100	= 0.000
0.000	x 0.000	= 0.000
0.000	x 0.000	= 0.000
0.000		0.000

Base Level Amount (2)

Base Level Amount (2)	\$	3,481.05
Increase for 200 Days of Instruction	+	\$ 0.00
Adjusted Base Level Amount	=	\$ 3,481.05
Total Weighted Student Count	x	0.000
Base Support Level	=	\$ 0

Total Student Count (1)

Total Student Count (1)		K-8	0	9-12	0
Additional Assistance per Student Count	x	\$ 1,734.92	x	\$ 2,022.02	
Additional Assistance		\$ -		\$ -	
Total Additional Assistance	\$	-			

Total Equalization Assistance = \$ -

- (1) Support Level Weight for K-3 includes student count add-ons for K-3 and K-3 Reading
(2) Includes Additional Inflation Amopunt (Laws 2015, Ch. 8, §34)

Student Count Add-Ons

1. Hearing Impairment
3. ELL (English Learners)
4. MD-R, A-R, and SID-R
5. MD-SC, A-SC, and SID-SC
6. Multiple Disabilities Severe Sensory Impairment
7. Orthopedic Impairment (Resource)
8. Orthopedic Impairment (Self-Contained)
9. DD, ED, MIID, SLD, SLI, and OHI
10. Emotionally Disabled (Private)
11. Moderate Intellectual Disability
12. Visual Impairment
13. Total Add-On Count

0.000	x	4.771	=	0.000
0.000	x	0.115	=	0.000
0.000	x	6.024	=	0.000
0.000	x	5.833	=	0.000
0.000	x	7.947	=	0.000
0.000	x	3.158	=	0.000
0.000	x	6.773	=	0.000
0.000	x	0.003	=	0.000
0.000	x	4.822	=	0.000
0.000	x	4.421	=	0.000
0.000	x	4.806	=	0.000
0.000				0.000

New Charter Application Fee

2018-2019 New Charter Application Fee

Instructions: An application processing fee ("Fee") of \$6,500, in the form of a single personal check or cashier's check made payable to *Arizona State Board for Charter Schools*, is required to complete the submission of a new charter application package. Any Applicant remitting payment of the Fee via U.S. mail (to ASBCS, P.O. Box 18328, Phoenix, AZ 85009) or FedEx/UPS (to 1616 W. Adams St. Suite 170, Phoenix, AZ 85007) must complete this form and include it in the mailing envelope with the Fee.

Date: _____

New Charter Applicant Name: _____

Authorized Representative Name: _____

Contact Phone Number: _____

Email Address: _____

Check Number: _____ Amount: \$ _____

Account Holder Name: _____